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CORRUPTION AND CRIME COMMISSION

OF WESTERN AUSTRALIA

COMMISSIONER JOHN MCKECHNIE AO KC

TRANSCRIPT OF PROCEEDINGS

AT PERTH ON MONDAY, 18 MARCH 2024, AT 9.57 AM

COUNSEL:

MS K. NELSON (COUNSEL ASSISTING)

MR C. PORTER (WITNESS)

WITNESS: CHRISTOPHER JAMES FIELD

l <b>1</b> 2	THE COMMISSIONER: Please be seated.
3 M 4 a	Ar Field, because it's been some time since your last appearance, I'll just take the precaution of having you re-sworn if you don't mind.
	THE WITNESS: Thank you, Commissioner.
9 <b>1</b>	THE ASSOCIATE: Mr Field, please stand. Take the bible and card in your right hand and read the oath out loud.
	CHRISTOPHER JAMES FIELD SWORN AT 09.58 AM:
7	THE ASSOCIATE: Thank you. Please be seated.
7	THE COMMISSIONER: Yes, Ms Nelson.
N	NELSON, MS: Thank you, Commissioner.
	Could I have the Parliamentary Commissioner Act 0101^, thank you, Madam Associate, at page 16.
C	0101^
t i c t	NELSON, MS: Now, we're looking at section 6(7). And on the last occasion, Mr Field, I was asking you about your last appointment by the governor and particularly the reference in the schedule to your entitlement to leave of absence and travelling and other allowances. And I was doing that because - just reminding you of the section of the Act that says the Commissioner and Deputy Commissioner are entitled to such leave of absence and such travelling and other allowances as the Governor determines. Do you recall that line of questioning?I - I do, counsel. Thank you.
ć	And do you recall that you said in relation to your last appointment letter which - perhaps if I get that on the screen it'll be easier. 0470°.
C	0470^
f	MELSON, MS: So this is the period of your appointment from 2022 until 2027, Mr Field. If we go to page 3, thank you, and paragraph 3 on the screen:
	A period of leave referred to in clause 2 may be taken with the approval of the minister.

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Do you recall on the last occasion you told the
 2
    Commissioner that you didn't believe that clause was
    actually correctly inserted into the schedule?---Yes,
 3
    Commissioner - sorry. Yes, counsel, I do remember that.
 5
    That's - my apologies, Commissioner. Yes, counsel.
 6
 7
    Is that still your position?---Yes, counsel.
 8
 9
    And you also told the Commissioner on 13 February at
10
    page 11 just for the transcript:
11
          It has not been the case in my entire term as
12
13
          Ombudsman that I have ever been required to seek
14
          approval to take leave from the minister, and at the
15
          time of being renewed, no person in government
16
          indicated to me any change to that position
17
          whatsoever.
18
19
    Is that still your recollection at the time that you were
20
    appointed again in 2022? No one pointed out to you
21
    paragraph 3 on the screen?---That is my recollection.
22
23
    And I believe you also told the Commissioner that you had
24
    gone back through your records of previous terms of
25
    appointment and were not aware of that particular
26
    requirement having appeared in any previous terms.
27
    recall giving that evidence? --- Ah, that it hadn't occurred
28
    in previous iterations, um, that was my recollection.
29
30
    Sorry, that was your - - -?---I'm sorry. That was my
31
    recollection.
32
33
    That's your recollection of having said that before?---I
34
    might just - because - just to be absolutely precise, I
35
    might ask you just to repeat the question if I may,
36
    counsel.
37
38
    Do you recall telling the Commissioner on the last occasion
    which was actually on 13 February at page 10 of the
39
40
    transcript that you had gone back through your records
    after seeing the appointment term that's on the screen now,
41
42
    and to the best of your recollection, the records that this
43
    may be the first time that was included, meaning the 2022
44
    requirement to seek the approval of the minister to take
45
    leave was the first time it had appeared in any appointment
46
    letters?---To the best of my recollection, that is what I
47
    do recollect saying in the previous hearing.
48
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49

FIELD, C J
(Public Examination)

Since the previous hearing, have you gone back to look

through the records again as to your appointment?---Ah,
yes.

Over - you have?---I apologise for interrupting. Yes, I have, counsel.

And do you still hold the same view that 2022 appointment letter was the first time you were required to seek approval from the minister to take leave?---My recollection, counsel, ah, from viewing those records is that there was no such requirement in the first two of the, ah, attachments regarding leave.

So that was from 2007 to 2012 is the first one?---Correct.

And then from 2012 to 2017?---Correct.

Did it appear in the 2017 to 2022 appointment?---What I recollect from that appointment was that there was an email sent to me saying that the terms had been updated, ah, but updated in such ways it didn't include, ah, those, ah - that terminology. Ah, and that's an email that I did receive on or about that time, and I couldn't find an email from myself, ah, ah, indicating anything to the contrary about those terms.

You've spoken about an email accompanying the appointment determination. Did you look at the actual determination from 2017 to 2022 at any time recently or at the time you were appointed?---'17 - I don't recollect it being sent to me. I recollect what was sent to me was that, ah, that was a reference to, ah, the terms and appointments are in effect the same but they have had an updated, ah, language, um, and, ah - no, I don't recollect seeing, ah, those, ah, ah, subsequently when they were actually gazetted.

Could I have 0469<sup>^</sup>, thank you.

0469^

NELSON, MS: So I take it from that answer, Mr Field, your evidence is that you don't recall ever seeing the 2017 appointment determination for yourself?---Um, no, I don't have a recollection of seeing - seeing that. I recollect seeing a reference to it, but I don't recollect seeing the actual, ah, terms themselves.

If we could have 0469<sup>^</sup>. It's the covering letter from 19 January 2017. Do you recall seeing that letter? We'll just scroll down to see who it's from. Thank you?---I

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don't have an immediate recollection but I have no doubt it
was - no - no doubt - no reason to doubt that it was sent
to me.

And page 2, thank you. So the appointment says that the governor is to appoint you, and particularly if we look at (b), you're entitled to such leave of absence as is set out in the attached schedule. And if we could go to the schedule on page 3, we can see there at paragraph 3:

A period of leave referred to in clause 2 may be taken with the approval of the minister.

Can you see that, Mr Field?---Yes, I can.

So do you accept now that from 2017 to 2022, you had the same requirement to seek the approval of the minister to take leave as you did from your latest appointment in 2022?---No, I absolutely don't.

What is it you don't accept, Mr Field?---Well, leaving aside whether that - the proper interpretation of that is permissive or not by the use of the word 'may' - I'll just leave that aside for a moment. Um, when I was first appointed in 2007, there were no such terms in my 2007 appointment. When I was reappointed in 2012, there were no such terms in my 2012 appointment. I received an email from an officer in the Public Sector Commission saying, 'We're appointing you on terms that have been updated,' but no suggestion that those terms had changed to include that particular terminology.

But having received that email or letter, surely you would have looked at the actual document to see what the updated terms were?---No, because it wasn't the import I thought of that particular email. They were talking about using more modern language is my recollection of that email. There wasn't anything in that email that suggested to me they were making a material and substantive change to, um - to whom I would receive - seek permission to undertake leave. Nothing in the - in that email gave me that impression at all. Um, if it had, I would have checked. Um, and then between receiving that email and of course what was gazetted, you are quite - quite correct. What was gazetted - I was to take leave from, um, ah, ah - it may - well, permissive aside, it has those terminologies it has there, and then that was carried forward.

49 So when it was gazetted, did it come to your notice that 50 you had the requirement to seek the approval of the

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minister?---I don't recollect the gazette being sent to me.
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- Counsel, like what I can say is those terms of employment
- 3 that were clear in 2007, that were clear in 2012, that I
- 4 took as being clear in 2017 were changed in my view
- 5 unilaterally without any consent from me. That's what they
- 6 appeared as when they were gazetted. And may I say this, a
- 7 grave matter because the Ombudsman as an independent
- 8 officer of the parliament does not seek approval from the
- 9 premier to undertake leave.

10

11 Mr Field, do I take it from that response that you did not 12 familiarise yourself with your terms of appointment in 13 2017?---Well, I did in 2007. I did in 2012.

14

Mr Field, can you please answer the question? Do I take it from your previous answer that you did not familiarise yourself with the contents of your appointment in 2017?---And I apologise. I was trying to answer the question.

19 20

THE COMMISSIONER: Well, just answer it?---Yeah. That - that wasn't effective, Commissioner. The answer is no, I don't accept that.

222324

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Why not?---Well, because I had - - -

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I thought that was just your evidence that you didn't read it?---Oh, no. And that - that is why I was giving that answer that was inappropriate, Commissioner, to the question. What I was trying to say is that, um, ah, because of the terms and conditions of 2007 and the terms of conditions of 2012 and the email that I received in 2017, nothing made me think - nothing made me think that they had changed the terms and conditions.

33 34 35

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37

So you didn't read them, which was counsel's question?--Well, they weren't sent to me, but I didn't read them. I
didn't go back to the Government Gazette and read them.
Correct, Commissioner.

38 39 40

**NELSON, MS:** And did you read the terms and conditions of your appointment in 2022?---Ah, no. I had no reason to think they had changed. The answer is no.

42 43 44

41

THE COMMISSIONER: Well, they hadn't changed?---Well, they had changed profoundly from 2007 to 2012 and 2017.

- 47 The difficulty, Mr Field, that I have and I mention this
- 48 more for Mr Porter, who I'm not saying should make
- 49 submissions but one of the issues which would be helpful
- 50 is whether or not you read them, that were the terms on

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which you were appointed which included approval of the
    minister, and you have told us you never sought approval of
    the minister for leave?---My - my position, Commissioner,
    is it would be completely in contradiction with the Venice
    Principles, completely in contradiction with the UN
 6
    resolution on the Ombudsman and mediator institutions - - -
 7
 8
    Well, let me just stop you there. I am a West Australian
 9
    Commissioner. I must apply West Australian law.
10
    Venice Principles are not part of West Australian law. And
    unless and until a court declares that one or more of those
11
12
    clauses are void, I am bound to give them proper effect.
13
    In other words, I am bound to treat them as lawful unless a
14
    court tells me otherwise, because I am not a court?---
15
    Commissioner, it goes to the way that - you used
16
    international principles in determining whether this
17
    hearing ought to be a public hearing. They're very, very
18
    clear on your website that you've used international
19
    principles.
20
21
    I did?---Yes. And I've used those to interpret my
22
    legislation, I cannot see the difference.
23
24
    Well, all I'm telling you, for submission from Mr Porter
25
    later, is that I profoundly don't accept what you say in
26
    relation to the interpretation, and that I am bound to
27
    apply that schedule as a matter of law, unless a court
    tells me it is void, but I'll leave that for such
28
29
    submissions, if he wishes, from Mr Porter. Yes, Ms Nelson?
30
31
    NELSON, MS:
                 Thank you, Commissioner. Mr Field, the very
32
    first document I showed you this morning was the
33
    Parliamentary Commissioner Act, which said that you as
34
    Commissioner were entitled to such leave of absence and
35
    such travelling and other allowances as the Governor
36
    determines. You suggested that that section of the Act is
37
    contrary to the Venice Principles? --- No, sorry, can you
38
    just repeat that section again for me so I can just - - -
39
40
    The section said that as Commissioner, you are entitled to
    such leave of absence and such travelling and other
41
42
    allowances as the Governor determines?---Oh, absolutely
43
    not, that is utterly inconsistent with the Venice
44
    Principles.
45
46
    And this document on the screen is the Governor's
    determination on the question of leave of absence, isn't
47
48
        Is that section n3?---It is.
49
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18/03/24 FIELD, C J (Public Examination) Epiq

Thank you? --- Yes.

1 2 Could we have 0468, thank you. 3 4 0468^ 5 6 NELSON, MS: Now, this is your determination of 7 appointment from 2012 to 2017, page 2, thank you. Again, 8 it's subsection (b), the Governor may determine that you 9 are entitled to a leave of absence and travel and other 10 allowances as set out in the attached schedule. could go to the schedule at page 3. 11 Under three, 12 travelling allowances, you're entitled to the same 13 travelling allowances calculated in the same manner and 14 subject to the same terms and conditions as a chief 15 executive officer. Now, casting your mind back to 2012, 16 what were those terms and conditions for you to travel? 17 ---Ah, well I was given no separate travel allowances that 18 I - that I recollect in relation to - in relation to terms 19 and conditions 3. 20 21 And what about the terms and conditions of those travelling allowances, what were they at the time?---I - I don't 22 23 recollect being given any separate document about that, or 24 any separate indication about that, counsel. 25 26 Well, did you make inquiries? --- Um, the only import I took 27 from that, um, was, ah, that they were the same as a chief 28 executive officer in terms of the usage of business class 29 travel, and I think that's the only thing I recollect 30 taking from it. 31 32 But you didn't make any inquiries as to whether that was 33 the correct interpretation? --- I don't think I made any - I don't recollect making any further inquiries at the time 34 35 about that, no. 36 37 And then paragraph 2, leave of absence. You're entitled to periods of annual recreation leave, long service leave, 38 personal leave, calculated in the same manner and subject 39 40 to the same terms and conditions as a permanent officer, which has the meaning as in the Public Sector Management 41 42 Act at the time. So, what were those terms and conditions, 43 did you need to seek approval particularly? --- Sorry, just -44 oh, this is item 2? 45 46 Yes, under leave of absence?---Ah, I took that as you were entitled to the, ah, four weeks' annual leave, long service 47 48 leave as appropriately calculated, personal leave, which

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49 50

FIELD, C J
(Public Examination)

includes sick leave, but otherwise, um, you would be

entitled to as if you were a permanent public servant.

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1
2
   But in terms of the conditions of those types of leave, did
   you inquire as to whether a permanent officer needed to
   seek approval prior to taking those periods of leave? --- No,
5
   well that's not what that section means though, no.
6
7
   My question, Mr Field, is did you make any inquiries as to
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whether that is what the section meant?---I didn't, because it's patently clear that that's not what the section means.

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Patently clear to you? --- Well, patently clear to - yes, to 12 me and to many, I suspect. 13

14 Do you recall whether you consulted the Public Sector 15 Management Act?---No, I didn't. It wasn't necessary. 16 very clear what that section means, and I didn't need to.

When you say it was very clear, is it just convenient, 18 Mr Field, that you would apply the definition that suited 19 you at the time?---Haven't done that in 30 years, counsel. 20 21 Haven't done anything of the sort in 30 years.

What do you mean, what haven't you done?---Done things to suit myself, or interpretations that would be of a benefit to me or suit myself.

Thank you, that can be taken down. On the last occasion, we were examining the trajectory of the OECD project, the negotiation phase prior to you signing the agreement with the OECD for the OECD project, and we got up to, I think, it was around about 14 June 2023, and I suggested to you that the OECD were taken by surprise by being informed by Ms Fisher of the OWA office that the grant agreement was to have the IOI removed and the OWA put on there as a signatory. Do you recall that line of questioning? --- Ah, yes, I think I do.

And you disagreed with me that the OECD were taken by surprise?---With these officer-level conversations, yes, I don't believe at the senior level they were taken by surprise at all.

So, when you say the senior level, who are you referring to?---Ah, I don't - well certainly at my level, and the levels of discussion that I had had, I felt it was very, very clear what the OECD project was, and that is very much reflected in what the project is as of today.

49 So, in June 2023, who were you having discussions with at 50 the OECD at a senior level? --- Well, I was delegating those

discussions to staff to have, but obviously I was having 2 discussions with my staff about those delegations. So, in June 2023, you personally were not having any discussions with anyone at the OECD?---Ah, no, they were 6 being delegated to staff. 7 8 So, any discussions that were being held with the OECD 9 about the project were by email or Teams meetings between 10 your staff and OECD staff member?---Correct. 11 12 At any stage during 2023, did you have discussions 13 personally with anyone at a senior level in the OECD about 14 the project?---Um, I only had two discussions. One was 15 with the Secretary-General of the OECD, and then a second 16 was a video conference, ah, some time after that. Not long 17 after that, ah, held from Perth. 18 19 So, the discussion with the Secretary-General of the OECD, 20 is that the discussion in June 2022?---Correct. 21 22 Was there any subsequent discussion between you and 23 Mr Cormann about the OECD project?---No. 24 And then the other meeting, you're referring to a meeting 25 in June 2022 via Teams?---That would be - I'm sure that is 26 the one you're referring to, would be the one I'm talking 27 about. 28 29 Did you participate in any Teams meetings in 2023 with the 30 OECD?---I don't have a recollection of doing so. 31 stage, it would have been delegated to staff. 32 33 So, in 2023, you can say that you didn't have any conversations directly with anyone from the OECD about the 34 35 OECD project, you personally?---No. 36 37 Could I have 0153^. 38 39 0153^ 40 NELSON, MS: I showed you this document previously, it's 41 42 an email from Ms Poole to Ms Fisher, who is within the 43 Office of the President and Ombudsman of the OWA, isn't 44 she?---Was, correct, yes. 45 46 Yes, on 11 June at 8.19 pm. Just point 3 in Ms Poole's 47 email: 48 49 In the model agreement, please add your name as a contact point and signatory. At the point of 50

signing, the Ombudsman will send you an email delegating his authority to you to enter into this contract. Did you instruct Ms Poole to ask Ms Fisher to do that?---I think it would be unlikely if I hadn't done that. So that's a yes?---I don't have a - well, only because I don't have a specific recollection of having that exact conversation, but I would take it for the import of that email that the answer would be yes. 

In a previous answer you said that you had delegated staff to discuss this negotiation with the OECD?---Yes, correct.

When you say delegated, do you mean there was a written delegation in existence?---Um, well it's a - it would be - it was calling staff in, giving them instructions, emails, telephone conversations, ah, those sorts of matters. In terms of delegations, um, there are - some officers were signed to undertake work on this, and some officers who had formal delegations under the delegations register.

Did you do a written delegation to any particular OWA officer to undertake anything to do with the OECD project? ---No, and there was absolutely no need to.

So, there's no written delegation in existence, there's no written record of you delegating any power or function to an OWA officer in relation to this project?---There's just not a single law in Western Australia that says there should be.

I'm just asking you, Mr Field, is there in existence?---Oh, well the answer is no.

No.

THE COMMISSIONER: Well, there is section 11 of the Parliamentary Commissioner's Act?---Yes, but that's all covered under the delegations register. So sorry, I should make it clear - there are various delegations contained under the delegations register. So, Commissioner, I misspoke or answered poorly. What I should say in answer to you, counsel, of course there was delegations under the delegations register.

**NELSON, MS:** And are those delegations in relation to the OECD project specifically?---Yes.

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Epiq (Public Examination)

And have you provided a copy of those delegations to the 2 Commissioner or to the Commission, sorry?---Ah, yes. They 3 were - yes, they were part of - yes. 4 5 THE COMMISSIONER: So, do I understand your evidence, that 6 there are written delegations for the OECD matter?---Um, 7 Yes, there are, Commissioner, but they won't appear in the 8 delegations register, as this is a delegation for the OECD 9 matter. 10 I'm sorry, I just - I misunderstood, I thought you just 11 12 said they were in the delegations register, which has been 13 supplied to the Commission? --- So the delegations register, 14 correct, has been supplied to the Commission. 15 16 Yes, and does it contain these? --- And it will contain the 17 delegations, um, for about whom has certain powers, and one of those powers, for example, will be to enter into a 18 19 contract with the OECD. But it won't say that level of 20 granularity or specificity in the delegations register, and 21 by law it does not need to. 22 23 NELSON, MS: So, will it say generally that a particular 24 officer has the power to enter into a contract? --- Correct, so that is completely correct. The Procurement Rules, 25 26 counsel, make very clear that you must have a register of 27 delegations. No ambiguity about that, and they can be 28 incorporated into the agency's general delegations 29 register, and that is exactly what we have done. And one 30 of those delegations will be, in our case at a certain 31 level, a person can enter into a contract, a procurement 32 contract. 33 34 Are you able to recall where that appears in the 35 delegations register?---I think it's under the procurement 36 section of the register, I don't have it in front of me. 37 38 Well, perhaps if I give you a hardcopy of 0550', which is the delegations arrangements that you have provided to the 39 40 Commission. 41 0550^ 42 43 Madam Associate, I don't think you have -44 NELSON, MS: 45 I'll give you my copy, I don't think you have another 46 copy?---Sorry counsel, that document number was - - -47 48 Is 0550<sup>^</sup>.

49 50

THE ASSOCIATE: Would you like it on the screen as well?

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1
 2
    NELSON, MS: Yes, thank you? --- Thank you so much. Ah, so
 3
    it's at page 5 of - page 5 of 10.
    We'll go to page 5, thank you. Is that the correct page?
 6
    ---And - no, it's not. I've got 5 of 10, so it heads up
 7
    'function procurement', that's the one. Ah, so you'll see
 8
    there who has the authority to approve exemptions for
 9
    minimum requirements, and also who has authority in
10
    relation to purchasing of goods and services and ongoing
    service contracts, and a key to abbreviations in relation
11
12
    to those, ah, titles.
13
14
    So, just on the exemption point, that's the top of the
15
    screen. So, a contract of this category, the OECD project,
16
    would fit into 50,000 and above, correct?---It absolutely
17
    would.
18
19
    So, the CFO can recommend an exemption from the minimum
20
    requirements?---Correct.
21
    And then you must approve it?---Correct.
22
23
    Did you document any approval process or any recommendation
24
    from the CFO in relation to an exemption from the OECD
25
    project?---Ah, my recollection is the CFO wasn't actually
26
    employed in our organisation at that stage.
27
28
    At what stage are you talking about?---At the stage where
29
    it was initially considered that the OECD was an exempt
30
    provider.
31
32
    I imagine the OWA had a CFO, whether it was the one that
33
    you have now?---It was the Deputy Ombudsman, correct.
    and I don't recollect that being the case. Certainly the
34
35
    approval for the exemption was provided by me, and is
36
    contained in the procurement memo.
37
38
    Which is a document that was created after you entered into
    the contract?---Well, it was being developed iteratively
39
40
    over a number of months, but it's absolutely true that the
41
    actual finalisation of it was after that time, that's
42
    right.
43
    Who was the CFO before Ms Nowbakht became the CFO of OWA?
44
45
    ---I'd have to check whether that was still our deputy or
46
    at that stage the assistant Ombudsman, my deputy held that
    role for some time. Um, and it may have been during that
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Epiq

47 48

49 50 period the assistant Ombudsman, ah, or it may have continued to be the deputy, I'd have to check that.

When did Mr Alan Shaw leave the OWA?---Oh, I don't have a 2 precise time for his leaving. 3 Ms Nowbakht commenced employment on 23 February 2023, do 5 you recall that, Mr Field?---Not precisely, but I've got no 6 reason to doubt that timeline. 7 8 Did you consult with her from the time she was employed 9 about the exemption requirement for this particular 10 project?---I don't have a recollection of consulting with her about it, but of course, there was no lawful reason why 11 12 I had to do so. 13 14 THE COMMISSIONER: She was the CFO?---There's nothing in 15 the Procurement Act or the Procurement Rules. 16 17 No, nothing in the Procurement Act, just as a matter of common governance, I would have thought you'd involve the 18 19 CFO in something that was going to be 200,000-odd. Are you saying you didn't?---Well the answer is no, it wasn't 20 21 lawful for me to have to do so. 22 I'm not talking about lawful, I'm just talking about 23 governance of an organisation and not telling your CFO that 24 there's a potential liability coming up?---Well, I don't accept that interpretation of good governance, 25 26 Commissioner. We're talking about very, very, very small 27 organisations where the CFO is at around a level 7, so not 28 Departments of Communities and Education. 29 30 We're talking about your departments?---Well, it makes a 31 difference whether your CFO is a C4. 32 33 Well, we're talking about your department, and as I understand, you considered that you had no lawful or other 34 35 reason to talk to the CFO about the OECD matter?---CFO is 36 an outstanding officer, what I can say is that I was 37 confident, um, that, ah, I had followed in its exactitude, 38 the Financial Management Act, the Procurement Act, and the Procurement Rules, had procured an outstanding project for 39 40 this state, and I didn't think it needed further 41 consultation.

42 43

NELSON, MS: Your own delegations register says that you should get a recommendation from the CFO, or suggests it, doesn't it, Mr Field?---Not at all.

45 46 47

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It says 'CFO' under the column recommend on the page in front of you, doesn't it, Mr Field?---There's nothing about a register that says recommend that means therefore you

have to have that recommendation put into place. I mean

2 3 4

THE COMMISSIONER: Oh, for heaven's sake?---Well, it doesn't.

5 6

7 It's your Procurement Rules. Just because it doesn't say 8 it - it does say?---But Commissioner, that's just not 9 correct. The way that the vast majority of - the way that 10 the vast majority of procurements work is they're relatively small - small-ish amounts of money. 11 They might 12 start with an idea from say, the Deputy Ombudsman, they get 13 put down to a level 3 or 4 in the organisation, work is 14 done on them, they work their way up the list up until say, 15 a level 7, the CFO, excellent officer. Who then will make 16 - form views about it, push that further up the line to 17 say, a level 9, or a C1, and then back up to the Deputy 18 Ombudsman to sign off. Now, that's a very typical way a 19 procurement is done. But there's nothing that precludes the  ${\tt OM}$  - nothing that precludes the  ${\tt Ombudsman}$  - and this 20 21 happens all the time - happens all the time, where I might 22 say, 'I think this is something that we should procure,' 23 and that necessarily goes back down to the CFO for a 24 recommendation. There's nothing untoward about that at 25 all, it's set up for a generalised purpose, it's not set up 26 for every single purpose that ever comes across the desk, I 27 just don't accept that at all.

28 29

So that's your answer?---That is my answer.

30 31

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NELSON, MS: Mr Field, this was not a usual procurement activity, was it?---Well, it wasn't procuring photocopy paper, in that sense it wasn't a usual procurement activity.

34 35 36

37

Well, it was a procurement between a state entity, the OWA, and a foreign entity, the OECD?---I don't accept that makes it unusual at all.

38 39 40

You don't accept that? --- No more than it would be procuring it from say, the University of South Australia, if that's what we did.

42 43 44

45

41

The payment was to be made in a foreign currency, did that make it unusual?---I'm sorry, there's plenty of examples in Western Australia where agencies - - -

46 47 48

49

Mr Field, I'm talking about from your agency's point of view?---No, it did not. No, it did not, any more than it 50 did from - from procuring it from New Zealand, as so often

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happens, from the UK, which happens regularly in this 2 state. 3 4 Does the OWA procure from New Zealand and the UK 5 regularly?---Well, state agencies in the state do. 6 7 THE COMMISSIONER: No. 8 9 NELSON, MS: I'm talking about the OWA, Mr Field. 10 Just listen. I think we'll do better 11 THE COMMISSIONER: 12 if you listen carefully to counsel's question and confine your answer to that question. I've already indicated that 13 Mr Porter will have the opportunity of clarifying at the 14 15 end, entirely a matter for him, any other matters. think we'll move faster, listen to counsel's question and 16 confine your answer to that question? --- And Commissioner, 17 18 you point to me correctly, no is the answer. Well, yes is 19 the answer, I never got the question because I spoke too 20 long. 21 22 NELSON, MS: Was it usual for the OWA to procure from a -23 in a foreign currency?---It would not have been usual for 24 25 Sorry?---It would have not been usual for us. 26 27 Not usual?---Yes. 28 29 Was it usual for a procurement by the OWA to require that the OWA make a payment in full upfront before receiving the 30 service or good, was that usual?---That I'd have to check 31 whether that's usual or not, I just could not honestly 32 33 answer that question. 34 35 Was it usual for the OWA in procuring any good or service 36 to be procuring it for also another body or entity? --- Ah, 37 well I don't accept that we were. 38 39 No, that's a second question. THE COMMISSIONER: 40 question was, if you repeat the question - - -41 42 NELSON, MS: Was it usual for the OWA to procure a good or 43 service for another - or on behalf of another body or entity?---Well that one, Commissioner, I'm really trying 44 45 hard, but I can't see how I could answer. If I say no, it 46 surely begs the question I was. The answer was I'm saying

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I didn't.

47 48 49

50

FIELD, C J
(Public Examination)

You didn't procure something on behalf of another entity, and I'm referring to the IOI?---No, and the answer is no.

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```
The OWA were paying around 75,000 euro, or thereabouts?
 2
    --- Ah, yes.
 3
    The IOI were paying €50,000?---Yes.
 5
 6
    But the contract terms that you signed put the full
 7
    obligation for payment on the OWA, didn't it, Mr Field?---
 8
    Yes.
 9
10
    On the paper the IOI had no obligation to pay any money to
    anyone?---No, I wouldn't agree with that.
11
12
13
    We'll come back to that. Perhaps if we could just finish
    with this delegation schedule. So the 'Purchase of good
14
15
    and services and ongoing service contracts', what's on the
16
    screen is for contracts up to 49,999. So that is not
17
    applicable to this particular project procurement, was it,
18
    Mr Field?---I'm so sorry, counsel, could you just repeat
19
    that?
20
21
    On the screen, we've dealt with the exemption at the top
    row, then the next row is 'Purchase of good and services
22
23
    and ongoing service contracts'?---Yes.
24
25
    And then it says, 'Total value including extensions and
    variations up to 49,999,' and then it deals with
26
27
    delegations for those types of contracts. Correct?---
28
    Correct.
29
30
    So that row is not applicable to the procurement of the
31
    OECD project, is it?---Correct, yes. Sorry, counsel,
32
    correct.
33
34
    We could go to the next page, thank you. The 'Total value
35
    including extensions and variations 50,000 and above', is
36
    that the applicable delegation for the OECD project
37
    procurement, Mr Field?---Correct.
38
39
    So under that delegation on the screen does Ms Poole,
40
    Ms Fisher, Mr Heritage have a written delegation to enter
41
    into that procurement?---No.
42
43
    Is there anywhere else in this delegation register that
44
    would give them a delegation to enter into that particular
45
    procurement?---No.
46
47
    Thank you. That can be taken down. So when you are
48
    referring in answers to the Commission to your officers
49
    having a delegation, are you really saying they were acting
50
    under your direction? --- Correct.
```

1 2 If we could go back to 0153<sup>^</sup>, thank you. 3 4 0153^ 5 6 NELSON, MS: Page 1, and at paragraph 3 where Ms Poole is 7 telling Ms Fisher that it is the intention for her to be 8 given a delegation to enter into the contract, being the 9 OECD contract. Was it your intention as at 11 June last 10 year to delegate Ms Fisher the ability to enter into a contract on behalf to the OWA with the OECD?---No, it was 11 12 always my intention, counsel, that I would be the signatory 13 to the agreement. 14 15 So are you saying that Ms Poole was not acting under your 16 direction when she sent this email to Ms Fisher?---Oh, no, 17 Ms Poole's an exceptional officer. I must have simply 18 misinformed her about that email. 19 20 So you're saying she was acting under your direction and 21 you told her that but you were wrong, or are you saying she 22 was not acting under your direction?---Ah, I don't have a 23 recollection of that particular conversation, um, and at 24 that particular time, but if there's any fault there, it's 25 my fault. 26 27 Under the delegation register and, in fact, as the 28 accountable authority you are the only one that can enter 29 into a contract of this size? --- Not just that - sorry, yes, 30 correct, and only ever my intention to do so. 31 32 As a result of Ms Fisher receiving this email from 33 Ms Poole, she then emails the OECD and informs them to add 34 North America to the survey proposal, and she asks them to 35 change the signatories and the contact points on the 36 agreement from the IOI to the OWA. Do you accept that?---I 37 - I've not seen the email, but I accept it if you say it is 38 the case. 39 40 I can show you the email, 0154<sup>^</sup>, page 8. 41 42 0154^ 43 44 Sorry, if we go to the bottom of page 5. NELSON, MS: 45 it possible to have all of that email on the screen? The 46 email of 30 June at 1.17 pm. So you can see the first question that the OECD had asked was: 47 48 49 We need to clarify the donor. Our understanding is 50 that the bank account where the money will come from

1 is the IOI, not the Ombudsman of Western Australia. 2 3 And Ms Fisher on 30 June at 2023 says: 4 5 I can confirm the donor is the Ombudsman of Western 6 Australia and the Ombudsman of Western Australia is 7 the entity to whom the invoice and financial report 8 will be sent. 9 10 And then in paragraph 2 the OECD had asked: 11 12 Confirmation that the person that signs the agreement 13 has authority to sign on behalf of the organisation, 14 making their contribution. 15 16 And the reply sent is: 17 18 The West Australia Ombudsman will be the signatory to 19 the agreement. 20 21 And then under 3: 22 23 We understand the Ombudsman of Western Australia is 24 the current president of the IOI since 2021 and his 25 office acts as the secretariat of the IOI, but please 26 provide clarification as to whether the IOI is a 27 separate legal entity that's able to grant funding 28 and whether Chris Field or yourself may sign on its 29 behalf. 30 31 And the reply is: 32 33 The Western Australian Ombudsman is a separate legal 34 entity, the funder, and the Ombudsman will sign the 35 agreement. 36 37 Do you accept, Mr Field, that it is not until the OECD 38 receives this email that they become aware that the OWA is to be the sole signatory on the agreement?---Ah, well, I 39 40 certainly agree that that's exactly what that email says. 41 In terms of any earlier emails, I would have to check 42 myself. 43 44 I can show you the previous iteration of the agreement?---45 I'm not doubting you, counsel, I just have a - I don't have 46 a photo recollection of the earlier - - -47 48 If we could have 0153^. 49 50 0153^

```
1
 2
    NELSON, MS: At the bottom of page 2 going onto the top of
    page 3. It's on 4 June, so the beginning of that month
 3
    about three weeks earlier than that email that we've just
    looked at. The OECD sends a version of (2) the grant
 6
    agreement to be signed by the two institutions. And then
 7
    we go to the actual annex which is page 4. If we keep
 8
    going through, thank you.
 9
10
    THE ASSOCIATE:
                     At reading speed?
11
12
    NELSON, MS:
                  Maybe just the previous page. Nope. Okay.
13
    Page 4 to 6.
14
15
    THE COMMISSIONER:
                        You don't want Mr Field to read this,
16
    do you? Because if you do, it will have to be much slower.
17
18
                  No. No, I don't. Thank you, Commissioner.
    NELSON, MS:
19
    Just trying to find the copy of the grant agreement that
20
    was sent through at that stage.
21
22
    So I can find it later, Mr Field. Do you accept it was a
23
    grant agreement between the IOI and the OWA?---Sorry,
24
    counsel. At what date are we - - -
25
26
    THE COMMISSIONER: I think we might - unless you've just
27
    found it.
28
29
                  No, I haven't.
                                  Thank you, Commissioner.
    NELSON, MS:
30
                        Mr Porter correctly asked what date.
31
    THE COMMISSIONER:
    We'll start a bit early. We'll take 20 minutes.
32
33
34
    Mr Porter, nothing I should ever say to you implies that I
35
    expect you to do it. It's a matter entirely for you.
36
    Mr Field has talked from time to time about the Venice
37
    Principles, which appear to me to be a council of Europe
38
    body that published them. It would be helpful in due
39
    course that a matter for you to know whether the Venice
40
    Principles are part of the domestic law of Australia and to
41
    what extent they can be taken into account. And the second
42
    question, what particular principle is it that affects his
43
    independence if a minister is required to approve his leave
    or a minister is required to approve his overseas travel.
44
45
46
    And we'll adjourn for 20 minutes.
47
48
                         (Short adjournment)
49
50
    THE COMMISSIONER: Please be seated.
```

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1 2 NELSON, MS: Thank you, Commissioner. 3 Madam Associate, 0153 bottom of page 2 going over into 5 page 3. 6 7 0153^ 8 9 NELSON, MS: So this is the email of 4 June 2023 from the 10 OECD to Ms Fisher of the OWA. And they have attached the amended proposal and the new draft budget and secondly the 11 12 grant agreement to be signed by the two institutions. 13 if we go to page 7, this is the grant agreement that was 14 attached. And you can see it's between the IOI and the 15 OECD, isn't it, Mr Field?---Correct, counsel. 16 17 At the time that Ms Fisher received this, you were in Slovenia with Ms Poole. Do you recall discussing this 18 19 issue with Ms Poole and receiving this grant agreement?---I 20 don't have a photo recollection. It's absolutely possible 21 we could have. 22 23 It was sent to Ms Poole on 7 June. Now, if we look through 24 this document over to the next page, we can see the contact has a space for someone from the IOI and then two people 25 26 from the OECD, Ms Cantera and Mr Bellatoni. And if we go 27 to the next page, it goes further into the terms of the 28 agreement, then the following page, and there's a space for 29 the signatories between the IOI and the OECD. There's no 30 space for the OWA to sign this version of the grant 31 agreement, is there, Mr Field?---No. No, counsel. 32 33 And in fact, the OWA is not mentioned anywhere in this 34 version of the grant agreement, is it, Mr Field?---Ah, I 35 can't recollect seeing all of it but I will absolutely take 36 your word for that, counsel. 37 38 So as at 4 June, do you accept that the OECD thought the two designated project partners were the IOI and the OECD?-39 40 --Ah, no. No. I - I do absolutely accept though that at 41 that relatively junior officer level as iterative 42 discussions were occurring that that is clearly what was 43 intended. 44 45 Well, the officer who sent Ms Fisher this is one of the 46

same - the same people who's going to be a signatory on behalf of the OECD to this grant agreement, Mr Field, Ms Emma Cantera. If we could go back two pages, thank you?---I don't think that's correct, counsel.

49 50

47

Sorry, perhaps the contact person. So, I'll take that 2 back. So, Ms Cantera was to be the contact person for the 3 OECD, along with Mr Bellatoni for the project? --- Correct, 4 correct. 5 6 So, in your estimation, she had - was incorrect, and other 7 people at the OECD knew the correct position was that the 8 OWA was to be the designated project partner, is that what 9 you're saying?---No, I don't think - I couldn't honestly 10 give that, ah, ah, evidence to - to the Commission. The only honest evidence I can give you is that a project 11 12 that had spanned back now over some considerable time 13 before this part of it was iterative in its development, it 14 continued to develop on. Um, and at the appropriate time 15 you will see that I indicated that it was a contract 16 between the OWA and the OECD and I was the signatory for 17 it. 18 19 But you only did that because the IOI refused to sign it, 20 correct?---Oh, no, absolutely not. There was a discussion 21 with the IOI as to who ultimately, um, ought to be a 22 signatory for the agreement, whether it would be the IOI, 23 OECD and OWA, or OWA and OECD. I always had a view - my 24 personal view was that it was best for the OWA and the OECD 25 to sign the contract because we were the principal funder 26 and I felt the contract was between us. But as I say - - -27 28 Did you tell anyone that view prior to mid-June 2023?---Oh, 29 I would have discussed it, but I don't have a photo recollection of who I would have discussed it with. 30 31 I discussed it, it almost exclusively only would have been with my chief of staff. 32 33 34 Well, Ms Poole has been up to this stage quite instrumental 35 in dealing with the OECD about the budget and the 36 proposal?---Correct. 37 38 Hasn't she?---Correct, yes. She was assigned for me - by 39 me to do so. 40 41 I suggest to you that you did not discuss changing the 42

43

44

45

signatories to this agreement until mid-June 2023 - discuss with anyone? --- Well, that's just not correct, counsel. was having those discussions about this being a project between the IOI and the OECD before I even met Mathias Cormann.

46 47

48 I'm just talking about the actual grant agreement and who 49 was to be the designated project partners for the actual 50 agreement?---No.

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1 2

I suggest to you, you did not have discussions with anyone prior to mid-June 2023 about the OWA being the sole designated partner with the OECD?---Oh, counsel, I think I - I can say to you, um, that what is absolutely clear is that pre-dating the time that I met with the OECD - indeed, going back to the time when I first saw the project that was undertaken by the European Ombudsman, I felt the Ombudsman should do a project with the OECD. It goes back years ago.

But I suggest you never told anyone that you had that thought?---I would have discussed it with my chief of staff, and I possibly would have discussed it with other staff members. I sent an email to - to people about it. I certainly - I certainly - look, that is the truth. That is my truth - well, that is my evidence to you. Now, in relation to did I then go through into, ah, what I call officer-level details about how actually that would all be transposed into and reduced into writing to a contract, that was iterative over a period of several, several, several months. And - and the result of which is what you have before you between the IOI and the OECD with me being the signatory. That reflected my view.

I don't dispute that is the final version of the grant agreement that you signed. What I'm suggesting to you, Mr Field, that that was not determined until at least mid-June 2023, and then communicated to the IOI and OECD on 30 June 2023?---Counsel, it's just not the way organisations work.

THE COMMISSIONER: No, no, we don't need a lecture on how organisations work. A suggestion's been made to you, you can agree with it or disagree with it?---I disagree with it profoundly.

Can you point to any document that shows that the OWA was always intended to be the contracting person prior to mid-June?---I can only point to those documents,

Commissioner, of my knowledge of the - of the report done by the European Ombudsman and, ah, the reason why I went to meet with Mathias Cormann in the first place. That's the reason I went to meet with him. That's the reason I also briefed - - -

There's no document pre-report of that meeting?--Commissioner, I haven't answered your question correctly.
The answer is no.

```
No, you haven't?---The answer is no.
 2
 3
    Thank you.
 4
 5
    NELSON, MS: Could I have 0304<sup>^</sup> at the bottom of page 3,
 6
    going into page 4 to commence, thank you.
 7
 8
    0304^
 9
10
                 Perhaps if we could start at the bottom of
    NELSON, MS:
                                 So this is an email of 22 June
11
    the email chain, thank you.
12
    2023 from Ms Poole to Mr Mauerer who is part of the IOI
13
    general secretariat in Austria. You're nodding your head?-
14
    --Correct.
15
16
    I'll let you read that. So, clearly a request from your
17
    chief of staff to the IOI secretariat to be a signatory on
18
    the grant agreement? --- Correct.
19
20
    And Ms Poole was acting under your direction when she sent
21
    this email to Mr Mauerer?---I don't recollect the
22
    conversation we had about, um, that but, ah, I can't
23
    imagine that, um - - -
24
25
    So my question was, was she acting under your direction
26
    when she sent this email?---Well, I don't - I don't have a
27
    recollection of that, counsel, but I can only imagine she
28
    would have been.
29
30
    Are you suggesting it's possible she was acting without
31
    your knowledge in sending this email? --- Oh, no, not without
    knowledge. Um, I just don't have the specific recollection
32
33
    of that specific delegation. Was she acting with knowledge
34
    generally about the project? Absolutely she was.
35
36
    You're talking about delegations again, and I think we
37
    established prior to the break that there are no written
38
    delegations in relation to this project, didn't we?---Ah,
39
    yes, we agreed that there didn't need to be, yeah.
40
41
    So we agreed prior to the break that the officers of the
42
    OWA would act under your direction?---Correct.
43
44
    You would tell them what to do and they would do it?---Ah,
45
    correct.
46
47
    So we could just scroll up, thank you. And that is an
48
    example of Ms Poole doing exactly that, I would suggest?---
    Um, there's not one aspect of which is news to me.
49
50
    I said, I don't have a photo recollection of that
```

particular delegation, but I accept what you're saying,
counsel.

So Mr Mauerer replies on 23 June. And if we go to the last paragraph he suggests that the OWA should be the expressly designated project partner, not the IOI. Correct?--- Correct.

Then if we go up Ms Poole then sends you that email chain and a suggested response to the OECD which makes it crystal clear that the sole designated signatory or donor is to be the OWA?---Correct.

Do you recall receiving this email from Ms Poole on 29 June?---I don't have a photo recollection of receiving it, but it's - I have no reason to doubt that I did.

I'm looking at the second paragraph:

I'm not sure we should foist this upon the OECD. Would you be content for us to go back to the OECD, explain the funding arrangements and Michael's suggestion, and ask how they'd like to characterise the contract?

Can you see that sentence there, Mr Field?---Ah, yes.

Do you agree that Ms Poole is indicating that the OECD might find this change surprising because it is a new change to the grant agreement?---No. My recollection about that particular aspect was that that wasn't necessary to do; that there was nothing being foisted upon them, nothing that would be a surprise.

I'm suggesting that Ms Poole thinks that it might be somewhat of a surprise to the OECD?---You're going to have to ask Ms Poole that question, counsel.

If we can go up, thank you. Stop, thank you. You then draft the email response that's to go back to Michael Maurer, the IOI, correct?---Ah, yes.

Thank you so much for your email. I am in complete agreement with your view that it would make the most sense for the Ombudsman of Western Australia to be the expressly designated project partner, and I will go back with that amendment to the OECD.

Aren't you suggesting in that response that this is a new development in the contract negotiations?---Not at all.

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48 49

47

level, it had been, yes.

as the IOI alone being the designated partner?---At officer

```
Well, the version of the agreement that was in existence -
    the last version 6 June had the IOI as the designated
 2
 3
    project partner?---Yes. The - the - the iteration of the
    contract at officer level at that stage had that.
 5
 6
    Well, prior to agreeing with Mr Maurer's proposition,
 7
    Ms Poole acting on your behalf I'd suggest tried to get the
 8
    IOI to be a co-signatory on the grant agreement with the
 9
    OWA?---Wasn't trying - it wasn't trying to do anything,
10
    counsel. It was, ah, ensuring, um, that we had, ah, gone
    to the IOI and determined, ah, whether they had a view
11
12
    about that matter, um, and that is exactly what I'm writing
13
    back when I'm saying, 'I'm in complete agreement with your
14
    view' to be diplomatically saying, 'Yes, that's good
15
    because then that's the correct decision'. Um, ah, and it
16
    was certainly my view that the IOI um, ah, was, ah - was
17
    not an appropriate signatory to the agreement and it should
    have been the Ombudsman and the OECD.
18
19
20
    I suggest you had not communicated that to anyone and
21
    principally not to the OECD prior to 30 June?---Well, I
22
    wasn't dealing with the OECD at that stage, ah, ah, at a
23
    level of the signatory or, um, the signing, um - - -
24
    But Ms Poole your chief of staff was and her team member
25
26
    Ms Fisher was?---No, she wasn't. No. She - she wasn't
27
    dealing at that level at all. She was dealing at an
    officer level, um, ah, and - and this document was being
28
29
    iteratively developed at an - at a - I mean, there's much
    betwixt between the - the - the officer level contract and
30
31
    what comes through to the CEO, and that's just a part of
32
    that process. That would be the case in every organisation
33
    in the world.
34
35
    Ms Poole didn't do anything, Mr Field, unless you told her
36
    to do it in relation to this project, did she?---Mm,
37
    Ms Poole was an exceptional officer who did things by
38
    delegation. You are correct. Or is an exceptional
39
    officer.
40
41
    Is that - what is the answer to my question though?---
42
    Sorry. That was a yes.
```

43

The next iteration of the grant agreement from the OECD of 18 August 2023, and that's 0154^ page 20.

46

47 0154^

```
NELSON, MS: So we can see that the agreement now has two
 2
    designated project partners, the OWA and the OECD?---
 3
 4
 5
    And the OWA is referenced throughout the agreement as the
    donor, correct?---Correct.
 7
 8
    And if we scroll down we can see the other change that has
    been made, and that is to the contact person. So it's now
 9
    not Nathalie Fisher but Rebecca Poole?---Correct.
10
11
    And was that change made at your direction?---I don't have
12
13
                    Nathalie also left the organisation to
    a recollection.
    join another organisation that may possibly be
14
15
    coincidental. I don't have that recollection, counsel.
16
17
    If we could scroll through, thank you, to page 23. So
18
    there's a change there to the date of the end of the
19
    agreement, the date of its termination, 31 March '25. Can
    you see that at the top - - -?---Yes - - -
20
21
22
    - - - of the page?--- - - I do.
23
    And then the only other amendment is to page 23. If we
24
25
    could scroll through to the next page which is page 4 of
    the actual agreement. Can see there's now a space for you
26
27
    to sign the agreement?---Correct.
28
29
    As Ombudsman of the OWA?---Correct.
30
31
    And then the annex to the agreement is in fact the project
32
    proposal. If we could go through to the next page which is
    page 24. We can see the first page of that and there's
33
    been a change to add in the Ombudsman of Western
34
35
    Australia?---Correct.
36
37
    And if we could go through to the next page, thank you.
    And there's been a change as to who the survey will be
38
    submitted to by the OECD, removing the IOI and putting in
39
40
    donor, which is the OWA?---Correct.
41
42
    Who then has an obligation to distribute it amongst the
43
    members of the IOI?---Correct.
44
45
    And that I suggest is the benefit that the IOI will receive
46
    from the OWA entering into this grant agreement. Do you
47
    accept that, Mr Field?---That we will distribute the report
48
    amongst member of the IOI?
49
    Yes?---Ah - - -
50
```

```
1
 2
    The IOI is no longer a signatory to the agreement?---Yes.
 3
    It has no obligations under the agreement between the OECD
 5
    and the OWA?---Correct.
 6
 7
    But yet the project proposal which is the annexure to the
 8
    agreement says that the donor, the OWA, will distribute it
 9
    to the members of the IOI?---Correct.
10
11
    The survey?---Yes, correct, oh, sorry, and they already had
12
    - correct.
13
14
    And then further underneath:
15
16
          The scanned report in English will be roughly 50-100
17
          pages, and will include the update to the 2018
18
          report.
19
20
    That you have referred to?---Correct.
21
22
    And then there's an analysis of OIs, meaning Ombudsman
23
    Institutions' role in the protection of civic space and
24
    reinforcing democracy?---Yes.
25
26
    So, that's a generic Ombudsman's Institution, correct, not
27
    any particular one in any particular country?---Ah,
28
    correct.
29
30
    And it talks about the mandates of OIs or Ombudsman
31
    Institutions changed in recent years, so that's one of the
32
    things that the scanned report will address, correct?
33
    ---Yes.
34
35
    And that is also a generic Ombudsman's Institution. You're
36
    nodding your head?---Yes, yes.
37
38
    There's no reference to the survey or the scanned report
    identifying or focusing on any particular Ombudsman's
39
40
    Institute in any particular country?---Ah, no, in the
41
    scanned report, um, ah, it - well sorry, the only answer to
42
    your question is no.
43
44
    And then the next dot point, there is an obligation on the
45
    donor - so an obligation on the OWA, to provide in-kind
46
    support in relation to disseminating the report? --- Correct.
47
48
    And it gives examples of what that might look like.
49
    printing, translating into other languages, agreed?
50
    ---Correct, agreed.
```

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Epiq (Public Examination)

```
1
 2
    So, in the agreement, that obligation is on the OWA?
 3
    ---Agreed.
 5
    But the OECD will need to give the OWA a non-exclusive
    right to translate and publish the translation of the
 7
    publication, because the OECD will be keeping the
 8
    intellectual property of the scanned report? --- Agreed.
 9
10
    Then at the next dot point, the case study on an
    Ombudsman's Institutions' role in protecting your rights in
11
12
    the digital age, there's no reference to any particular
13
    institution as being focused upon by the scanned report?
14
    ---Ah, agreed.
15
16
    And then there's a policy dialogue event to disseminate the
17
    results of the survey and the updated report, which is to
    be organized by the donor, so that obligation is on the
18
    OWA?---Agreed.
19
20
21
    In the context of its annual meeting?---Ah, correct, yes,
22
    correct.
23
24
    And is that phrasing in fact a legacy phrase from when the
25
    IOI was the designated project partner in the previous
    draft of the agreement?---Ah, no, it was intended that, ah,
26
27
    the optimum time to, ah, undertake that particular activity
28
    was around the holding of the annual meeting to which you
29
    refer, to which of course our office would be in
30
    attendance.
31
32
    So, the IOI annual meeting? --- Correct.
33
34
    And I'm suggesting to you, if you read the document as it
35
    is on the screen, so the donor in the context of 'its',
36
    meaning the donor's, annual meeting, it's nonsensical,
37
    isn't it, because the OWA doesn't have an annual meeting?
38
    ---The OWA does have an annual meeting, and that annual
    meeting, insofar as it's a member of the International
39
40
    Ombudsman Institute, is that annual meeting held somewhere
    around the world, particularly during the time that the IOI
41
42
    is holder of the role of presidency.
43
44
```

45

46

Sorry, how does the OWA have an annual THE COMMISSIONER: meeting?---Well, it's in the context of the fact that the

47 No, no, you've just said that the OWA has an annual 48 meeting?---Yes.

What is the annual meeting? --- It was - well, my - well, 2 none. I can say none. 3 Well, you just said yes?---Well, I was saying yes in the context of the fact that whilst the OWA was holding the 6 role of the President of the IOI, that annual meeting would 7 be the annual meeting of the IOI. 8 9 Sorry, look, it's entirely me, Mr Field, I'm sure it's not 10 you. But counsel just asked you - suggested to you that it is a nonsense to say the OWA has an annual meeting. 11 12 it was meaning that the IOI has an annual meeting. Now, 13 you said the OWA does have an annual meeting, so tell me, 14 because I'm confused, what the annual meeting is?---It's 15 entirely me and not you, Commissioner. The answer to your 16 question is - I don't agree with the nonsense part, but the 17 answer to the question is, um, the IOI has an annual 18 meeting, and the OWA insofar as it was the holder of the 19 elected president of the IOI, it would attend that annual 20 meeting. 21 22

23

Yes, so it's not the OWA's annual meeting at all?---No, I didn't mean to mislead by saying that at all, it was on the basis that we would be attending that annual meeting.

24 25 26

All right.

27 28

29

30 31

32 33 NELSON, MS: Thank you, Commissioner. And what I did omit to also say was that the last part of that last dot point, there's a capacity building activity to improve open government literacy, and the International Ombudsman Institute's role in country's open government agenda, to be organised online, also by the donor, or the OWA, you're nodding your head?---Yes, correct.

34 35 36

37

38

And then the last obligation on the OWA is to provide interpretation during these events, meaning the capacity building activities and the policy dialogue event, if needed?---Yes, correct.

39 40 41

Thank you, if we could just scroll down. And that is the final proposed budget?---Correct.

42 43 44

45

This is the version that you signed seven days later on 25 August 2023?---I'm going to take your word for that, counsel.

46 47

48 You don't need to take my word, I'll take you to it?---All 49 right.

50

```
1
    PORTER, MR: Sorry counsel, what date is this tracked
 2
    changes document?
 3
 4
    NELSON, MS:
                  That is 18 August 2023. So, it's under an
 5
    email 0154^{\circ} at page 10.
 6
 7
    0154^
 8
 9
    ?---While that's being found, Commissioner, can I have just
10
    the indulgence of one minute.
11
12
    Sure?---Thank you so much.
13
14
    We'll just have a break for five minutes.
15
16
                         (Short adjournment)
17
18
    THE COMMISSIONER:
                       Please be seated?---Thank you,
19
    Commissioner.
20
21
    Whenever you're ready, Ms Nelson.
22
23
                  Thank you, Commissioner. Could I have 0304^?
    NELSON, MS:
24
    I just neglected to ask you something about that email
25
    exchange?---Yes.
26
27
    0304^
28
29
    NELSON, MS: So, the document we were just looking at was
30
    from 18 August, but prior to that, I was asking you about
31
    instructions from yourself to tell the IOI and the OECD
32
    that the OWA would be the sole designated project partner
33
    on the agreement?---Yes.
34
35
    We'll just go down to the bottom of page 1 going over into
36
    page 2 again?---Yes.
37
38
    So, you have instructed Ms Poole to send the two emails, I
39
    only asked you about the first draft, which was to
40
    Mr Maurer at the IOI?---Mm.
41
42
    But you have also drafted a response to Emma, and that is
43
    Ms Cantera at the OECD, isn't it?---Ah, yes, correct.
44
45
    And your draft response says:
46
47
          Dear Emma, thank you so much for your email. Having
48
          consulted both with the IOI president and the IOI
          Secretary-General, I can now confirm my answers to
49
50
          your questions in red below. Otherwise, we're simply
```

delighted that this project will now commence and look forward to working with you.

And then if we scroll down, and that is in fact the response to say that the donor is now the Ombudsman of Western Australia. And the Ombudsman of Western Australia is the sole signatory?---Yes.

Thank you. That can be taken down. Now, I was going to show you the final version of the agreement, signed by yourself.  $0107^{\circ}$ .

0107^

NELSON, MS: And Madam Associate, you have some hardcopies of that document, I believe, for the witness, thank you. And the Commissioner. 0107^, thank you. If we go to page 4, we can see your signature?---Yes.

On 25 August 2023. Now, prior to signing the agreement, if we go back to page 1, did you read it?---Yes.

Did you seek any advice, legal or otherwise, on the terms in the agreement?---No, I did not.

Did you make any inquiries as to whether the Director of Public Governance at the OECD had the imprimatur of the OECD to sign this agreement?---No, I did not.

If we look at the object which is paragraph 1, it says the donor, meaning the OWA, has agreed to finance the work which the OECD will carry out on the project, and the name is the name of the project proposal, which is annexure 1. It goes on to say:

As part of its programme of work and budget for the Public Governance Committee for 2023-24.

 Did you know whether this project proposal was already a - a project of work that the OECD were going to undertake prior to you discussing it with them? Was it something that was on their project of works regardless of whether we were the donor?---No, that wasn't my understanding from my, ah, meetings with my meeting with the OECD. It certainly was my understanding we had that raft of projects that that division was working on, and more broadly, they were working on, including that division. But this specific project was not one that I was aware that they were otherwise working on.

So, it wasn't your understanding that the OECD were going to undertake this work regardless in their 2023-24 2 programme of work?---No, that was not my understanding. 3 5 And then paragraph 2, contribution. So the first sentence 6 is:

> The obligation of the OWA to provide an amount of 129,960 euros.

You're nodding, Mr Field?---Yes, sorry, sorry counsel. 11

And that is the entire cost of this project?---Correct.

In the second sentence, the contribution is to be paid in full upon signature of this agreement, so it's immediate payment after receiving the invoice from the OECD, correct?---Correct.

Is that usual in procurements projects of this size in the OWA, to pay upfront, in effect?---Ah, that will vary. will be upfront, some will be over, ah, over terms. Um, I didn't see there being anything particularly unusual about that.

THE COMMISSIONER: What, paying the money upfront before anything is done?---The judgment I would have brought to it at the time, Commissioner, is that I was dealing with an organisation with a reputation or capital such that it would be utterly astonishing if they were either nonexistent after I signed it, insolvent, didn't exist anymore, or that didn't actually - didn't actually fulfill the terms of the agreement. It would not have occurred to me that that could have occurred with the OECD. I mean, if you were dealing with Joe's Handyman Service - Handyperson Service, maybe that might have occurred - that would have occurred to me, but it didn't occur to me on this occasion.

NELSON, MS: Did it occur to you on 25 August when you signed it to discuss those payment terms with the chief financial officer of the OWA or anyone within the finance team?---No, but they would have been, and were, informed about the payments that needed to be made.

45 THE COMMISSIONER: When?---I don't have a recollection, 46 um, ah - - -

Because I thought earlier you told me that the CFO was not engaged until very much later when the invoice came? ---Well, that's true. So what I'm - - -

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2

Well, if that's true, that answers counsel's question? I certainly wasn't trying to mislead, I was ---Good. trying to say yes, I did discuss - or there was discussions, with the need to pay the contract. But, after.

6 7

Those discussions occurred in December?---After, yes.

8 9 10

Whereas counsel's question was here, and the answer was no, you did not discuss it?---Well, in that case I apologise Commissioner, no is the answer to that.

12 13 14

15

16

11

NELSON, MS: In fact, the CFO was not aware of this project until sometime in October 2023?---I don't have a photo recollection, but I recollect it was certainly sometime later, and that could well be correct.

17 18 19

20

21

22 23 And in fact, the first time that she's - or the agreement itself was on 7 November 2023. You're shaking your head Mr Field, do you disagree with that?---No, I don't. - as I say, there was absolutely no need for her to see it, but I completely agree with you.

24 25

26

27

28

There was no need for her to see the agreement, yet it committed the OWA to pay an amount of nearly 130,000 euros?---Well, as an officer of the Parliament, I have an obligation to follow the law, and I followed the law, and that doesn't include having that discussion with the CFO.

29 30 31

32 33

34 35

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39 40

So, in your mind it wasn't a necessary discussion for you to tell the CFO that she was shortly to receive an invoice that would require immediately payment, in euros, to an overseas entity in a sum of over 200,000 AUD?---It's not a question of what's in my mind, counsel, um, it's what's in the mind of the - the, ah, body to which - and to exclusively I serve in this state, and that is the Parliament of Western Australia, and it's not in the mind of the Financial Management Act, nor the Procurement Act, nor the Procurement Rules, that any of those things have to be done. So, it's not a question of poor governance not to follow the law.

42 43 44

45

41

THE COMMISSIONER: It's nothing to do with following the law, everybody expects you to follow the law?---Well, I did.

46 47 48

49

By not telling the CFO about this until 8 November?---I didn't have a discussion with a level 7 employee in my 50 office about when financial, ah, when invoices have to be

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paid, despite that employee being an outstanding employee, um, can I say, um, there is nothing in any law in this state that requires it.

**NELSON, MS:** The invoice could not be paid without the CFO knowing, could it, Mr Field?---Well, it goes to our finance department and they would pay the invoice accordingly.

The CFO leads the finance department, does she not?---No, the finance department is lead by, um, ah, well, for most of my time in my office, led on a day to day basis by my Deputy Ombudsman, and now, um, through, ah, an assistant Ombudsman. So, none of this is to suggest the CFO is not an outstanding person, who she is, and an outstanding employee, which she is. But it misunderstands, um, that that CFO is given any role under the Procurement Act, or the Procurement Rules, of which she is not.

Did you tell anyone in the finance area of the OWA that they were to expect an invoice?---At the appropriate time, they were told that there would be an invoice coming, correct.

And that was not until late October at the earliest, correct?---When the invoice was due, correct. Nothing untoward about it at all. And not the only procurement that is done exclusively by me that isn't discussed with the CFO. I'm doing another one at the moment as we speak.

And what one is that?---Um, in relation to procuring matters for, um, our new chattel trust jurisdiction and procuring counsel for that.

And have you discussed that procurement with your CFO?
---There would have been, ah, some discussion about it
potentially. But almost all of that discussion would haver
been with myself and the lawyer who was procuring the procuring the advice. And I suspect the only reason I've
discussed it with the CFO is because, ah, once again, the
CFO is now well-established in our organisation as a CFO.

Well - - -?---I can't stress enough, counsel, it's not in the Act, it's not in the Rules, there is not a single reason to do it. You cannot perform bad governance by following the law.

I think we'll move on. So, the contract also provides that should there be an amount of 2000 euros that is unspent, that that is to be retained by the OECD?---Correct.

And as we discussed earlier, for the intellectual property, 2 it says: 3 The results of the work in whatever form shall remain 5 the sole property of the OECD. 6 7 ?---Correct. 8 On a plain reading of this agreement, there was no 9 10 undertaking by the OECD as to the form of the results of the work, is that what that clause suggests?---I think 11 there was a very clear understanding about what the - no, I 12 13 don't accept that. I think it was a very clear understanding, this contract in its totality and its 14 15 collateral documents were very clear as to what was to be 16 produced, not leaving aside of course the annex to the 17 contract. 18 19 And what was to be produced is the results of the work 20 undertaken by the OECD?---Yes, we were contracting that 21 service from the OECD, that's correct. 22 23 And despite the OWA contracting that service, the outcome 24 was to remain the sole property of the OECD?---I wasn't, 25 um, concerned about - -26 27 Is that what that clause says, Mr Field?---Yes, sorry, I 28 should - my apologies, Commissioner, the answer to that is, 29 ah, yes, that's what that clause says. 30 31 And does that clause also suggest that the OWA can't direct 32 the OECD as to how the results of the work are to be 33 communicated?---Well, yes, I wouldn't have wanted to. 34 35 There's no provision for the OWA to direct how the OECD 36 will carry out the project?---I would have made it a non -37 no, there's no way I would have wanted that term in the 38 contract. 39 40 So, the OECD had free artistic rein as to how they undertook the project?---Well no, they had intellectual 41 42 integrity about how they undertook the project, exactly the 43 same as if you were contracting with the university, you 44 wouldn't want to direct them how to do it. 45 46 We'll go to page 3, thank you. Page 2 still had Ms Poole 47 as the contact person?---Yes. 48 49 On that point, did you have any knowledge at the time you 50 signed this as to whether Ms Poole had any experience or 18/03/24 FIELD, C J 38

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training in contract management of this size?---Well, it's
    a moot point, because I intended to be both the signatory
 2
 3
    and the contractor.
 4
 5
    THE COMMISSIONER:
                        It's not a moot point, it's a question,
    could you answer it?---Well, ah, the answer is no.
 7
    sorry, in relation to this, I'd have to check what
 8
    contracts she had managed before, I'm not sure.
 9
10
                  So, I take it in August last year, you would
    NELSON, MS:
11
    have been unaware of what contracts she had also managed up
    to that point?---Mm, well as I say, it wouldn't have
12
13
    occurred to me, because I hadn't intended for her to be the
14
    contract manager.
15
16
    But yet you put her as the contact person on the agreement,
17
    what was the point of that?---Well, the same reason Mathias
18
    Cormann is not there as a signatory, because I don't expect
    him to necessarily be on that contract. What I expected is
19
20
    inquiries in the first place about the contract would be
21
    directed to her, and then she would direct them to me.
22
23
    Had Ms Poole undertaken any training from the Department of
24
    Finance on procurement rules or the Act at this point?
25
    ---Oh, I couldn't say, I'm not sure.
26
27
    Had she undertaken any mandatory training from the
28
    Department of Finance on the Procurement Act rules?--- Had
29
    I?
30
    Yes, as at August 2023?---Ah, well, I - mandatory training.
31
    I'd been - I'd been in training, if you like, for 17 years
32
33
    as the CEO of an organisation.
34
35
    THE COMMISSIONER: No, no, that's experience?---Oh, well,
36
    fair enough.
37
38
    The question is related - - -?---Yes, no. The answer's - -
39
40
41
    - - - to mandatory training?---The answer's - the answer's
42
    no.
43
44
    See, it just does move a bit faster, as I say?---It does.
45
    It does, Commissioner. It does.
46
47
    Mr Porter will have every opportunity to clarify?---It
48
    does. And, Commissioner, my sincere apologies.
49
```

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NELSON, MS: And if we could go - thank you, we're already
    on the next page. So, page 3 under the 'Conduct and
    Ethics' under B there's a requirement that conflicts of
    interest in relation to the execution of the agreement are
 5
    to be avoided?---Yes, correct.
 6
 7
    Did you do anything in relation to satisfying that
 8
    subclause 9B?---Oh, only to the extent to which I satisfied
 9
    myself there was no conflict of interest.
10
11
    Even though that you were holding the presidency of the IOI
    as well as signing this agreement on behalf of the OWA?---
12
13
    Oh, correct. But that was profoundly, in my view, not a
14
    conflict of interest.
15
16
    THE COMMISSIONER:
                        Sorry, profoundly what?---Not, in my
17
    view, a conflict of interest.
18
19
    Why not? I mean, this is a contract which, amongst other
20
    things, will give a benefit to the IOI. You've agreed with
21
    that. How could you not have been in a conflict?---Because
22
    the law is really clear that it isn't a conflict.
23
24
    Your law may be clear that it isn't a conflict, but how can
25
    it not be? You're president of an organisation which is
26
    receiving a benefit from another organisation for which you
27
    have entered into a contract. How can that not be a
    conflict?---Commissioner, it's - it's the parliament that
28
29
    determines what are conflicts, and through its subsidiary
30
    legislation. And it is absolutely clear from my
31
    perspective, um, that under all relevant laws and
32
    legislation in this state, um, that does not constitute a
33
    conflict of interest.
34
35
    This is a contract that has nothing to do with parliament.
36
    It's a contractual point, and you say you have no contract
37
    - that is, no conflict. That is your answer, I have heard
38
```

your answer?---And, Commissioner, if you'll - if I could indulge only to one sentence, it's not a contract; it is a - it is a component part of a procurement process. And the procurement rules - - -

41 42

39 40

43 I'm sure counsel will come to that and explore it in due 44 course?---Okay. All right. In that case I - in that case 45 I won't - - -

46

47 Do not worry that it will ignored?--- will not, yes. 48 Okay. Thank you.

49

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NELSON, MS: Mr Field, you are contracting as the
 1
    Ombudsman of Western Australia to pay a certain amount of
 2
    money for the OECD to undertake some work as defined in the
    annexure. That's what this document is about, correct?---
 5
 6
 7
    And that is a contract, isn't it?---Oh, sorry, I'm not
 8
    doubting for a moment it's a contract.
 9
10
    THE COMMISSIONER: I thought you just did?---No, I was
    trying to say it's a contract in the context of a
11
    procurement process, and therefore the Procurement Act and
12
13
    Procurement Rules apply, as does the relevant Australian
14
    Standard - Accounting Standard.
15
16
    NELSON, MS:
                 But the contract is the outcome of the
17
    procurement process, correct?---Ah, it's the penultimate
18
    outcome. The outcome of the procurement process is - is,
19
    ah, any revisions to the contract, contract management, and
20
    then contract completion.
21
22
    I see. The process of procuring doesn't start with the
23
    contract being executed?---No, it does not, correct.
24
25
    Because there must be a period of time where the need is
26
    identified?---Correct.
27
28
    Then the party that will provide the need is identified?---
29
    Correct.
30
31
    There's negotiations as to price? --- Correct.
32
33
    And then you enter into the contract?---Correct.
34
35
    And then it goes on. Going back to the joint interests you
36
    held at the time you signed this - - -?---Yes.
37
38
    - - - I want to suggest to you that you had two coexisting
               At the time you signed this you were president
39
    interests.
40
    of the IOI so you had an obligation to advance the IOI and
41
    its member's interests as president, correct?---Correct.
42
43
    And at the same time you were the Ombudsman of Western
44
    Australia?---Correct.
45
46
    And in that role you had an obligation to ensure the OWA
```

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Australia?---Correct.

47

48

49

performed its statutory purposes for the benefit of Western

So those two coexisting interests - you agree they're 2 coexisting as at 25 August 2023?---Correct. 3 4 I want to suggest to you at the time you signed this they 5 were conflicting interests? --- I just profoundly disagree. 6 7 I'll give you my reason: because at the time you signed 8 this you were committing the OWA to pay the entire project 9 amount of money?---Yes. 10 Yet you knew at the time you signed this that the IOI and 11 its members were going to receive the outcome; the Scan 12 13 Report, the capacity-building exercises - you're nodding 14 your head?---Mm-hm. 15 16 And the policy dialogue event. Can you see the conflict as at 25 August?---No. Um, I'll leave for - for, as the 17 18 Commissioner said, counsel to go through, um, the 19 Australian Accounting Standards, the related party 20 transactions, all of which are part of law in this state 21 that patently make these matters not conflicts of interest. 22 But - - -23 24 You were transferring resources of the OWA to another entity that was going to undertake work for the benefit of 25 26 a second entity of which you were leading? --- Sharing -27 sharing key management personnel is not a conflict of 28 interest in this state. Now, that's just the law. Now, in 29 relation to my intention, um - and obviously counsel will have an opportunity to present those materials to me at the 30 31 appropriate time - so that's just not correct, counsel. The sharing of KMP do not constitute a conflict of 32 33 interest. 34 Sharing of, sorry, the KMP?---Ah, key management personnel. 35 36 But in relation to - in relation to these issues, far from 37 seeing it as a conflict of interest, I saw it as a 38 confluence of interest. I saw it as a coexisting interest 39 to benefit to Western Australians. That's the way I always 40 saw the process. I saw it as a process where I had originally met with Mathias Cormann to pursue a, um - a 41 42 project to which I had evinced interest a long time before 43 that, as the records that I produced to the Commission 44 show. 45 46 I suggest they don't show that, Mr Field, but I think we'll 47 get to that later?---All right. Well - - -48 49 I'm not agreeing with your assessment?---All right. then, I'll say that's what - it was in my mind. And I'd 50 42

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intended that this project would be of substantial benefit
    to Western Australians, and that I thought, um, insofar as
    it may have a benefit for other ombudsmen, that was utterly
    consistent with the role that we were playing both in our,
    ah, Southeast Asian region, um, and our major trading
    partners. Um, and as discussed with a raft of senior
 6
 7
    government personnel in the state of which I've also
 8
    produced evidence to you, um, throughout the period of the
 9
    OECD process and - - -
10
11
                        I think we're straying from the answer
    THE COMMISSIONER:
12
    to the question which you have answered?---I think we
13
    probably are.
14
15
    In your opinion, there was no conflict, it was
16
    complementary. I understand your evidence? --- Thank you.
17
    Thank you, Commissioner. I had to drag too far, and I
18
    thank you for picking me up.
19
20
    NELSON, MS:
                  If we could continue on to clause 10, the
21
    confidentiality clause which says the agreement is not to
    be made publicly available without the prior written
22
23
    agreement of both parties except to respective orders.
24
    that confidentiality obligation cause you any concern?
    ---No. No, it did not.
25
26
```

27 Did you consider whether it was compatible with the - any any obligations that the OWA was subject to such as freedom 28 29

of information or - - -?---Well, we're not.

You're not - - -?---We're profoundly not - - -

Have you considered that?--- - - subject to freedom of information. We're exempt.

And what about in terms of the rest of the OWA employees? Did you consider that was agreement that should be made available to them to - to look at and be aware of?---But but nothing - nothing that my employees - anything that comes to my employees' attention as part of their employment can be discussed with anyone outside of my agency - well, unless of course they're making - - -

So they'd seek - - -?--- - - complaints to the CCC, in which case they can.

So you didn't see this clause as preventing you from telling anyone in the OWA about the existence of the agreement?---Of course not. Absolutely not.

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```
Do - - -?---But of course that is only on the basis - I'm
 2
    so sorry to interrupt, counsel. That's only on the basis
 3
    of course that they themselves would hold that information
    confidential.
 5
 6
    Of course. Which they are required to when they - - -?---
 7
    Yep.
 8
 9
    - - - swear the oath when they become employees?---Correct.
10
    Correct, counsel. Correct.
11
12
    Did you consider that this confidentiality clause prevented
13
    you from telling anyone in the rest of the executive arm of
14
    government about the existence of this agreement?---No, I
15
    did not.
16
17
    Did you consider it constrained you in relation to
    reporting to Parliament in your annual report or - - -?---
18
19
    No.
20
21
    - - - any other type of report to come?---No. My view is -
    is - is - is you can't contract out of that profound, um,
22
23
    and legislative requirement that I report to Parliament.
24
25
    If we could track down to the termination clause which is
26
    number 12. So it talks about in the event of a material
27
    breach of the agreement by the OECD the - the outcome of
28
    that. Did you have any understanding at the time of what a
29
    material breach might look like?---Um, I think it goes back
    to the Commissioner's previous question, counsel.
30
31
    will say - ah, and I accept, Commissioner, there may be a
    (indistinct) but I did - I didn't anticipate that, um, the
32
33
    OECD - knowing the nature of the OECD that I would have
34
    anticipated that being an issue.
35
36
    THE COMMISSIONER:
                        Nobody ever does?---And - and - and,
37
    Commissioner, I accept that.
38
39
    That's why you - - -?---You have the clause.
40
    - - - put in dispute resolution clauses?--- accept that.
41
42
    I accept that. As I say, I did turn my mind to this
43
    particular contract and the particular people with whom we
    were contracting and felt I was less concerned about it.
44
45
    But I accept your point, Commissioner, completely.
46
47
    NELSON, MS:
                 Did you - - -
48
49
    THE COMMISSIONER:
                        It's just that I have sat as a judge in
    too many contracts - - -?--Yes.
50
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1
 2
    - - - that everybody went - - -?---Yes.
 4
    - - - into with the best of intentions and then - - -?---I
 5
    agree.
 6
 7
    - - - things fall apart? --- I agree. I agree, Commissioner.
 8
 9
                 Mr Field, because the - you were contracting
    NELSON, MS:
10
    as the OWA with a - a non-government entity, did you
    consider whether there was any taxable supply under the
11
12
    goods and services tax rules that would apply or indeed
    whether in France there were any evaluated tax or any tax
13
14
    implications for you entering into this agreement?---No, I
15
    didn't give that matter consideration. I assumed that both
16
    - both matters were not so applicable and so I didn't give
17
    that matter consideration.
18
19
    So are you saying that you did consider it at the time and
    assume they were not applicable or it - - -?---I'm sorry.
20
21
22
    - - - didn't even enter into your head?---No, I'm sorry. I
23
    should - sorry. I need to be absolutely clear with you,
24
    Commissioner. It might be a better answer, um, but it
25
    wouldn't be the truthful answer. Um, I - it did not occur
26
    to me at the time that that was an issue. The only reason
27
    it wouldn't have occurred to me at the time is because of
28
    the nature of, ah, tax and GST applicabilities to
29
    government agencies.
30
31
    Well, of course the OECD is not a government entity?---Oh,
    it's wholly owned by government in effect though - its
32
33
    board of ministers from government. So I - I - I don't
34
    know its exact corporate structure, um, but to call it a -
35
    a - I - I'd have to think about that further.
36
37
    It's not a government entity within - - -?---No, no.
38
39
    - - - Australia?---It's not a government entity, you're
40
    right, in the sense that it's not, ah - it's not government
    and it's not the government entity. As I understand it,
41
42
    it's wholly owned by the shareholders which are government
43
    and its board of management and ministers of those
44
    governments - finance ministers, I think.
45
46
    I'm not suggesting that there is or isn't any tax
    implications. I'm just wondering whether it was something
47
48
    that you've inquired into at the time?---No. I didn't make
49
    an active inquiry.
50
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If we go to the next page, thank you, which is the
 2
    signature page. Now, how did this agreement - how
    compatible was it with the usual general conditions of
    contract that a government agency such as the OWA is
    subject to when they procure goods and services?---Yeah.
 6
    So I did turn my mind to that, um, and there is a
 7
    requirement for - under the procurement rules which are
 8
    made as a supporting instrument to the procurement act to
    have a certain level of contract, um, and I considered the
 9
10
    contract was an analogue of the relevant terms and
    conditions that were otherwise in the simple form of
11
12
    contract that would be applicable to this particular
13
    instrument. So I did turn my mind to that and I was - - -
14
15
    And - - -? --- - - satisfied about that.
16
17
    So you turned your mind to that as at 25 August? --- I would
18
    - well - and I - well, when I - when I saw the contract is
19
    when I turned my mind to it.
20
21
    Okay?---I don't know if that was on 25 August. It might
22
    have been the 23rd, the 24th.
23
24
    Well, in any event, before you signed it?---Correct.
25
26
    THE COMMISSIONER:
                       When did you first see a draft of this
27
    contract?---On oath, I couldn't answer that, Commissioner.
28
    I could go back and check my records.
29
30
    Well, I assume you saw a draft of it before you signed it?-
31
    --Yes, Commissioner.
32
33
    And more than a day before?---That would have been my
34
    recollection. I would have to check that.
35
36
    Very well.
37
38
    NELSON, MS:
                 If I could go to the next page which is the
    annexe to the project proposal. I presume at the time you
39
40
    signed it or shortly before signing the agreement, you read
    the annexe as well?---Yes. Correct, counsel.
41
42
43
    And it reflected what you understood the project was to
44
    be?---In its broad-brush, I was very comfortable with it.
45
46
    THE COMMISSIONER: Well, what do you mean broad-brush?
47
    ---Oh, at - - -
48
49
```

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This is the agreement that both parties are signing up to?-

--Yes. And it wasn't meant to be some sort of cute comment

I was making, Commissioner. I was trying to say yes, I was absolutely comfortable with it. I ultimately knew that there would be further, ah - some details as there are often with these matters that would have to be worked through over the coming months. But in terms of trying to capture what was intended, yes, it was - it was correct.

8 Sorry. You signed this agreement. You pay the money 9 before any work was done. I would thought the obligation 10 of the OECD is to fulfil the project proposal, not something else but that? --- You are absolutely correct, 11 12 Commissioner. Now, I only mean in terms of, ah, where for 13 example they might have been using an example. I had a 14 very, um - forming an idea that I would like to see that 15 example involve First Nations Peoples, and so that was 16 something that was developing at the time. I didn't feel 17 the need to put all that detail in cos I knew that would be 18 detail that would be discussed during that tea period - - -

Well, you didn't know, you hoped?---Correct.

Because the OECD could say, "Well, thanks for the money. We've got our objectives. We've got our outputs. what we'll deliver?---Commissioner, that's possible. would have thought it would have been exceptionally unlikely. And if that had been happening, Jimmy would have taken my call. I would have called Mathias Cormann and discussed it with him.

NELSON, MS: If you - at the time you signed this, Mr Field, you wanted there to be a particular emphasis on anything to do with Western Australia, it was - you could have had that put into the actual project proposal, no?---I could have. I wasn't at the time - I remember we discussed it and, ah, I wanted that to be about a further, um, ah, discussion with the OECD both in terms of video conference and otherwise. Um, ah, I knew the secretary-general's profound commitment to this stage. I was, um, very, very, ah, committed to particular examples, and I was committed in particular, um, to - - -

THE COMMISSIONER: No, I think we're straying from the question?---Oh, sorry. The answer is - - -

NELSON, MS: If you're particularly committed to particular examples, you could have had them put into the project proposal and in particular into the outputs?---Yes. No, it's an excellent question, counsel, because you - I -

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THE COMMISSIONER: What's the answer?---I can see why
 1
    you're now asking that question. No, I wasn't convinced at
    the time that putting in a level of specificity about
    something which I was not yet certain what that specificity
    was - was it actually counterproductive at the time?
 6
    wanted to keep it more at the - at this level so the
 7
    granularity would be built in over the next couple of
 8
    months. And I was confident - completely confident that
 9
    there would be no pushback from all the relevant
10
    stakeholders to do that.
11
12
    You don't know that; you hope that. But I'm a simple
    person, I just look at the agreement and what was promised
13
14
    to be delivered, and there is nothing there about Western
15
    Australia?---That's true. But I had 17 years,
16
    Commissioner, of never having a project ever having gone
17
    wrong, and I had confidence about it. It's not meant to be
18
    arrogant.
19
20
    No, and I'm very pleased for you. But projects go wrong.
21
    But more particularly, as a matter of simple contract,
22
    nothing about Western Australia is in this contract? --- From
23
    day one this - - -
24
25
             Is anything about Western Australia - - -?---Okay.
    No, no.
26
    Commissioner, I agree.
27
28
    - - - in this contract? --- Commissioner, I agree.
29
```

30

31

32

35

36

Thank you. It was a long way around to get to the answer to your question?---Yes, and it's my - and my fault, Commissioner, and I apologise.

33 34

**NELSON, MS:** And I think you said that you weren't yet in your mind certain of a level of specificity or certainty as to what you wanted to be the focus?---Yeah, and I didn't want to lock the agreement into those matters prematurely.

373839

40

So you hadn't communicated that level of certainty or those thoughts to anyone as at August 2023?---Within the OWA, yes, but out into the OECD, not specifically, no.

41 42

So who had you talked to about that within the OWA?---Oh, I would have had some conversations with, ah, my chief of staff, potentially others. I don't have a photo recollection of that. We certainly were discussing those matters as they were developing up during this process.

We could just scroll down, thank you? --- But, you know, I 2 take full responsibility for it not being here, none of my 3 staff. 4 5 The objective listed there is the project will produce the 6 standalone Scan Report on the basis of the survey that goes 7 to all IOI members?---Yes. 8 9 And that will feature an update to the 2018 report - - -? 10 ---Correct. 11 12 - - - which was, in fact, an OECD report?---Yes, correct. 13 And taking into account new areas such as the role that 14 15 generic ombudsman institutions play in open government, 16 protection of traditional rights in the exercise of civic 17 space?---Yes. 18 19 So the objective is for the Scan Report to be reflective of 20 ombudsman institutions and how they operate around the 21 world at the time that the report's done?---Correct. 22 23 We can go over to the next page. We went through the 24 outputs in some detail prior to the last break on the basis 25 of the previous iteration of the proposal of 18 August. my reading of the outputs as they're listed here, there's 26 27 no change to those. I'll just give you a minute to look at those?---Yeah, counsel, I - I do know that I'd taken some 28 29 of them out prior to the iteration of this version but, um, 30 I - I - that's all I can say. 31 32 You'd taken some outputs out?---Oh, sorry, I thought we 33 were talking about the costs. I'm so sorry, counsel. 34 35 I'm looking at what's up on the screen, so that's page 6? 36 ---Oh, I'm so sorry. Yeah, I was looking at this. 37 38 So I'd suggest to you that what is on the screen is exactly 39 the same as the document that we went through previously 40 which was the 18 August iteration?---And that would largely 41 be correct because that was absolutely consistent with what

42 43 44

45

46

So, for example, the last dot point, the donor being the OWA still has to organise the policy dialogue event to disseminate the results of the survey at its annual meeting?---Yes, yeah.

47 48 I'd intended.

```
And I'd suggest that that's a legacy from when the donor
 2
    was actually the IOI in the first iteration of the grant
 3
    agreement?---Ah, in fact - - -
 4
 5
    THE COMMISSIONER: I think we've been through that - - -?
 6
    ---Yeah.
 7
 8
    NELSON, MS: Okay. Thank you.
 9
10
    THE COMMISSIONER: - - - ad nauseam?---Yes.
11
12
    NELSON, MS: So on the basis of this proposal would you
13
    agree that the benefit to the OWA from receiving the
    results of the Scan Report would be the same benefit that
14
15
    any other ombudsman institution would receive in any other
16
    jurisdiction?---(No audible reply.)
17
18
    They'd receive the same report?---No. No, I wouldn't agree
19
    with that. I want to keep - I want to give a short answer,
    but I - I - Commissioner, you'll stop me immediately.
20
21
    from the commencement of this project going back to when I
22
    became aware of the European project, it was always my idea
23
24
25
                       Well, stop about your idea because the
    THE COMMISSIONER:
26
    question - - -?---Okay. In that case I'll just say no.
27
28
    - - - is just about this agreement?---I'll say no.
29
30
    Not what was in your mind which you will no doubt explain
31
    later?---Okay.
32
33
    But it's just about this agreement?---In which case, no.
34
35
    NELSON, MS: You don't agree with that proposition?---No,
36
    well, it's not correct from my perspective.
37
38
    I think the Commissioner asked you the question as to
    whether there was any mention of WA that was - sorry, I
39
40
    could ask it this way: I suggest to you that there's no
    benefit to the OWA that the report outcomes provide that is
41
42
    not the same benefit that would be given to any other
43
    ombudsman institution receiving this report? --- No. Sorry,
44
    counsel, it sounds like I'm being churlish or arrogant.
45
46
    THE COMMISSIONER:
                       And don't forget the question is
47
    limited to this agreement?---Yes, agreed.
48
```

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Not anything else?---Absolutely. And on this agreement and
    on the four corners of this agreement, the answer to that
 2
    is profoundly no in my view.
 3
 5
    NELSON, MS:
                  The OWA would receive the same report that
    the New Zealand Ombudsman would receive or that the
 6
 7
    Canadian Ombudsman would receive at the end of the day?
 8
    --- The outcome report - the output report? Yes, they
 9
    would.
10
    Thank you, that can be taken down, Madam Associate.
11
    provided the Commission with a copy of the 2018 OECD
12
13
    project paper, and that's 0561<sup>^</sup>, thank you.
14
15
    0561^
16
17
    NELSON, MS: So the front page is an email between
18
    yourself and your executive assistant at the time?
19
    ---Correct.
20
21
    So that's in October 2018?---Yes.
22
23
    And if we go to page 4 it attaches the actual OECD working
    paper that is the 2018 report?---Correct.
24
25
26
    Did you receive this report for the first time in October
27
    2018?---Ah, I'm not sure that's the first time that I
    received it. That's the first record that I could find in
28
29
    my records where I had sought to obtain a copy of it.
30
    course, I knew about it extensively before that time, but
31
    in terms of the final report, that may well be the first
    time I saw it. It's the only record I could find.
32
33
34
    PORTER, MR:
                 Sorry counsel, what was the email date there
35
    please?
36
37
    NELSON, MS: It was 17 October 2018.
38
39
    PORTER, MR:
                  Thank you.
40
41
    NELSON, MS:
                 And you knew about it because of your
42
    membership of the IOI?---Oh, no, much more than that. We
43
    had been a contributor to the report itself.
44
45
    By 'we', you mean the OWA?---Correct.
46
47
    If we go to page 42, 43 - - -
48
49
    THE COMMISSIONER: You don't expect Mr Field to read this,
50
    do you?
    18/03/24
                          FIELD, C J
                                                               51
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Epiq

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particular topic of the area, that's my recollection. Well, yes, my recollection is it was a project of the European Ombudsman where she had contracted the OECD to undertake this work in relation to exactly the issues that are being discussed there.

44 45 46

And do you recall reading it in October 2018?---I definitely read the report, and whether it's 2018 - as I say, I did - - -

48 49

THE COMMISSIONER: I think it would be a stretch to remember that, but you recall reading it?---Yes. Well, Commissioner, what I can say is in good faith I tried to find any evidence that I had sent any emails about it, and the one I could find was the one I found.

NELSON, MS: And if you could go to page 11, there's another highlight where the Ombudsman of Western Australia has commented favourably about having whistleblower protection?---Yes, I remember we were in the report on a number of occasions.

And I think at a later page, 16, it's another reference to - favourably to the OWA about how they have particular - well, how you have particular stakeholder engagement practices in place?---Yes, that was particularly Aboriginal Western Australians, as I recollect it, correct. Outreach.

And then further down that page, there's another favourable reference to the OWA having - if we could just scroll down, thank you. Having training sessions on accountable and ethical decision-making?---Well, they're slightly kind to us, I think they were actually being done by the Public Sector Commissioner, but we participated.

So, the report itself is - doesn't concentrate on one particular geographical region, does it?---Although I do recollect, counsel, that there was a particular focus on Europe, that was my recollection of the report.

Well, the list of countries that I took you to, perhaps if we go back to page - it was 44. It includes countries in South America and in middle Asia.

THE COMMISSIONER: Africa?---No, I don't think there's any doubt about that. No, I could be wrong. My recollection was - remember this was a report of the European Ombudsman, and what they were looking is can we get examples of practice from all around the world, and how that might translate best into European context, I thought that's what that report was specifically doing. I could be wrong, but that was my recollection.

NELSON, MS: Well, it was important that you understood it, given that this was, you say, the genesis of your idea to do the current OECD project?---And indeed, very much the genesis was that because I thought it was more a particularly European-focused report, um, that there would be enormous benefit in a report that focused on our region of the world.

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As well as multitude of innovative practices from all over the world. This report is the first of its kind, as it documents the role of Ombudsman's institutions to the heard of the global open government agenda, and provides concrete policy recommendations.

So, I'd suggest to you in fact that the report, the purpose was to have a global approach, not a euro-centric approach?---Well, as I say, I'd have to go back and refresh my memory entirely of the report. Um, the European Ombudsman is funded through the European nations, I would have thought that, ah, and the European Parliament. I would have thought that it was a focus on Europe, but understandably looking at examples of best practice all around the world to inform that. But as the Commissioner has said, counsel, it's absolutely correct that you may well do a report like this, and it will have benefit for Ombudsmen beyond those ones you anticipate. It might not just be for Ombudsmen in Europe, it may benefit for other Ombudsman as well when they look at - -

 Well Mr Field, it doesn't say that this is just for Ombudsmen in Europe, or even that they just looked at Ombudsmen in Europe, does it?---It was done by the European Ombudsman, counsel. I'm not quite sure the European Ombudsman was doing a report that was specifically meant to be - - -

THE COMMISSIONER: It may have been done by the Ombudsman, but what Ombudsman is putting to you?---I'd have to go back and read it then.

Well, I suppose that the report speaks for itself one way or another.

NELSON, MS: Thank you Commissioner?---Well, just - that's the foreword, I think we'd have to read the whole report to gather whether that's the case or not.

THE COMMISSIONER: I think that was the point I just made?---Oh, Commissioner, I agree with you entirely.

NELSON, MS: The end of that sentence does say that the 1 2 report provides concrete policy recommendations? --- Yes. What did you do with the report at the time you received it to assess any implications for the OWA at that time?---Oh,

with any of these sorts of reports, um - - -

Well, what did you do in relation to this one, Mr Field, to identify key - - -?---I don't have a photo recollection of what I did with this report.

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You don't know?---I can only speak to my general approach, and it's the same with any, ah, CCC reports, Auditor-General reports, reports like this. I definitely would have dealt with reports like this. You look at it, and you think if there's anything you should be doing in relation to your own practice. I definitely would have done that with this report.

18 19 20

21

22

Do you recall if you made any policy changes or any practice changes within the OWA as a result of this report?---I don't necessarily recollect making any particular changes.

23 24 25

26 27

28 29

30

And you don't recall whether you sent it to anyone in particular in your office at the time?---I don't have a particular recollection. It would have been discussed, but I don't have a particular recollection of sending it to anyone. It may have been potentially discussed at our corporate executive, I can't remember.

31 32

Mr Heritage has told the Commission that he first became aware of the 2018 report in January 2023, do you accept that?---That wouldn't surprise me at all.

33 34 35

36

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39 40

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Ms Poole has also told the Commission that she has no recollection of discussing the 2018 report with you, do you accept that?---Ah, I thought we had discussed it, but I'm absolutely happy to defer to her memory of it. But as I say, when I say discussed it, it would have been very much in passing. It was the same reason why I was asking my executive assistant for a copy of it, because I wanted to see it and wanted to have a look at it.

42 43

44 Is it possible, Mr Field, that when you tell the Commission 45 that at the time of reading this report in 2018 that you 46 conceived the idea of the OWA procuring the OECD to do the same thing, is it possible that you didn't tell anyone at 47 48 that time?---I thought I had had a discussion at the time about it, but look, as I say, it's six years ago-ish, I 49 50 don't have a specific recollection of doing that. I do

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FIELD, C J (Public Examination) know that when I saw the report, I thought it was a report that would be an outstanding one for us to do as an organisation. I absolutely remember having that - remembering that at the time.

Because you told the Commissioner on the last occasion, which is 14 February transcript at page 92, that:

From the very first day that I considered, when I read the European Ombudsman project, I saw it as a project between an Ombudsman's office and the OECD that could be leveraged off to other IOI members, and I've taken that as read throughout this entire process that it would always be the Ombudsman Western Australia and the OECD.

?---Yes, and that was my view from the first time I read it.

And I'm suggesting to you that is it possible that whilst you might have had that thought yourself, you never communicated that to anyone in your office at the time you first read it?---As I say I don't - I don't have a recollection of the level to which or to whom I communicated it. But it's absolutely possible, remembering at the time there wouldn't have been any specific funding for that project. Um, I wouldn't have necessarily had any particular reason to think, 'Gee, that's a great project, that'll be something really good for me to do, really good for us to do as an organisation,' that I necessarily would have thought it would be happening at any time shortly or anytime at all, potentially. I mean, there's been lots of those ideas over the past 17 years where an idea is had, but it doesn't necessarily come to fruition for a whole raft of different reasons.

 But Mr Field, this is of particular importance, because you've said to the Commissioner that reading this report was the germane of the idea for the procurement of the OECD project that we were just looking at?---And that is a 100 per cent true statement.

In fact, on 14 February in your examination, transcript at page 74 you said:

I first came upon a report, which is a report produced by the European Ombudsman done in conjunction with the OECD. I read that report and contemporaneous to reading that report, I formed the view that it would be an outstanding piece of work to

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1 2 3	undertake in Western Australia for the benefit of Western Australian citizens, the Parliament, the public.	
4	P 4.0 12 0 1	
5	?A hundred per cent correct.	
7	And what I want to clearly suggest to you is that you di	٦
8 9	not tell anyone about that idea, you did not start a procurement process at that time? No, I absolutely did	
	<u> </u>	
10	not start a procurement process at that time, that's	
11	completely correct. Um, and I've had 30, 40, 50, 60, 70	,
12	80 ideas over the last 17 years that have not come to	
13	fruition for one reason or another, and lots and lots the	at
14	have.	
15		
16	So, if you didn't start the procurement process at that	
17	time, when do you say that you started it?Oh, from my	
18	perspective, that procurement process commenced at or ak	out
19	the time that I, um, met with Mathias Cormann.	
20		
21	THE COMMISSIONER: That might be a convenient time to	
22	break.	
23		
24	NELSON, MS: Thank you, Commissioner.	
25		
26	THE COMMISSIONER: Two o'clock.	
27 28	(THE WITNESS WITHDREW)	
29		
30	(LUNCHEON ADJOURNMENT)	
31		
32		
33	CHRISTOPHER JAMES FIELD RECALLED ON FORMER OATH AT 02.00	
34	PM:	
35		
36	THE ASSOCIATE: All rise.	
37	COL	
38	THE COMMISSIONER: Please be seated.	
39		
40	NELSON, MS: Could I have document 0107 which is the	
41	agreement that you signed, Mr Field.	
42		
43	0107^	
44		
45	NELSON, MS: And if we could go back to page 6. So the	į.
46	outcome of - the output, sorry, of the project proposal	
47	that was contemplated by this agreement is on the top of	
48	the page. And I was asking you way before the break	
49	whether the OWA was to receive the same benefit or the s	ame
50	output from this project as any other ombudsman institut	е
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who would receive the report and be part of the
 2
    capacity-building events based on the plain words on this
    page, and you said profoundly no, you disagreed with that.
    Can you please tell me why you say WA would get an extra
    benefit on top of what other ombudsman institutes would get
 6
    from the output?---Yes, counsel. For I think three
 7
    reasons, all as briefly as possible, Commissioner. Number
 8
    one, it was an agreement between the OWA and the OECD.
 9
    so what did Western Australia particularly - what was the
10
    relationship particularly or more significance to Western
    Australia? Well, it was a contract between the Western
11
12
    Australian Ombudsman and the OECD. That's number one.
13
14
    So the contract is one benefit?---Well, the fact that it's
15
    a contract with a Western Australian entity.
16
17
    Right?---Number two, ah, that, ah, the funder was the
    Western Australian Ombudsman and I certainly expected to be
18
19
    able to exercise the sort of leverage and direction that a
20
    funder would be able to achieve in relation to any
21
    contract. Um, that would be standard contractual practice
22
    that the funder would have an expectation regarding, ah,
23
    the execution of the contract. And then third, um, ah,
24
    both consistent with my views dating back to 2017, '18, ah,
25
    and all the way through that there would be aspects of this
26
    contract that would be specific to, ah, Western Australia.
27
    And not just Western Australia, counsel, but to finish
28
    that, um, are with the broad Asia-Pacific region in which
29
    the Ombudsman - Western Australian Ombudsman operated.
30
31
    Thank you? --- Thank you, Commissioner. Thank you for that
32
    indulgence.
33
34
    I don't necessarily accept them. I'll wait until I hear
35
    all the evidence. But those are the three benefits you
36
    have indicated over and above that which every other
37
    Ombudsman would achieve?---Correct. Ah, I was thanking for
38
    the indulgence for the long answer, Commissioner.
39
    Thank you.
40
41
    NELSON, MS: Thank you, Commissioner. Now, could we have
42
    1098?
43
44
    1098^
45
46
    So on 12 September Ms Poole received by email a letter from
```

48 49

47

proposal amount?---Yes.

the OECD attaching the invoice for the full project

So the letter is on the screen dated 12 September 2023 requesting payment in the next 30 days and attaching the signed agreement from the OECD. So from this point, there was a contractual obligation on the OWA to pay the amount, 5 correct?---I think the - well, sorry. Ah, yes. 6 7 And if we could go to the next page, we'll see the invoice 8 that came with this letter. And the payment was to be made 9 to a JP Morgan account in Germany?---Yes. 10 Now, Ms Poole said that she made this invoice known to you 11 12 at the time. Do you recall that?---Yes, that's my 13 recollection. 14 15 Had you had any discussions with anyone at the OECD about 16 the, ah, bank that they were requiring payment to?---No, I 17 don't recollect having that discussion. 18 19 What then - what steps were then taken within the OWA in relation to payment of this invoice?---Ah, I don't 20 21 recollect a particular conversation. What would have then 22 occurred - what should have occurred or what would normally 23 have occurred is - would have been provided to the, ah, 24 finance team for payment. 25 26 Did you provide it to the finance team for payment?---Oh, 27 it wouldn't have been me personally. It would have been, 28 um, giving instructions in relation to that being done. 29 30 Who did you give those instructions to?---Ah, if I had 31 given those instructions at the time, um, they would have 32 been given to, ah, ah, Ms Poole, I think. 33 34 You don't have a record - - -35 36 THE COMMISSIONER: Sorry, your voice is just dropping a 37 bit?---I'm sorry, Commissioner. 38 39 That's all right. Just keep it up?---Sorry. That would 40 have been to Ms Poole, but I don't have a photo recollection of having that conversation with her. 41 42 43 Do you have a recollection of having a NELSON, MS: 44 conversation with her around about 12 September about the 45 OECD project in any respect? --- Ah, no. Not a particular 46 conversation, no.

47 48

49

Ms Poole has told the Commission that she had a telephone conversation with you after 12 September but before

50 14 September about her drafting a procurement memo?---Ah,

18/03/24 FIELD, C J (Public Examination) the drafting of the procurement memo conversation was some time before that, um, but it, ah - it may well have been that on 12 September there was a further conversation about a procurement memo.

4 5 6

7

8

9

10

11 12

2

3

When do you say the first mention to Ms Poole about drafting a procurement memo occurred?---Oh, my recollection was, um, several months before that, uh, where there was an initial discussion around - of course, there'll need to be a - all of these procurement steps that are undertaken have to be reduced into writing under the procurement rules and - and form part of, ah, our auditable accounts including in relation to procurement.

13 14 15

16

So several months. You're talking three or four or five?---It could have been as early as the time that we met with Mathias Cormann back then, um, but - - -

17 18 19

20

THE COMMISSIONER: Well, there's nothing to procure then?---Oh, no. That was part of the procurement process where you met Mathias Cormann.

21 22 23

What?---Well, those very initial discussions are all part of the procurement rules, um - yeah. I'm sorry. view, Commissioner, um, the - - -

25 26 27

28

24

NELSON, MS: Mr Field, if we could just limit your evidence about this to the procurement memo - drafting the procurement memo?---Yes.

29 30 31

32

33

34

35

36

37

38

39 40 When did you first give Ms Poole an instruction about that?---I don't have the specific recollection about that. There would have been conversations as early as when we met Mathias Cormann at some point, of course. The various steps that we undertake have to be reduced to writing. there would have been a - there would have been conversations early in 2023 where there were conversations about "We need to reduce into writing the various steps that have been taken in relation to procurement". the - the - the procurement process starts when you have an idea. Technically, it started back in 2008.

41 42 43

44

45

46

47 48 Mr Field, Ms Poole has told the Commission that the first ever mention of a procurement memo was when you rang her about 24 hours before 14 September and said, "We need to do a procurement memo". That was the first time that you ever mentioned it to her?---Ah, well, I - I think that wouldn't be my recollection of it. My recollection is that, ah, ah, we would have been having a discussion, ah, from, ah,

49 50 throughout the period about the fact that the various

```
procurement steps needed to be reduced into writing, um, as
    it is the case with all procurements, and I do - - -
 2
 3
 4
    THE COMMISSIONER:
                        Can you put a date on when this was?---
    No, I can't. I - I'd have to go back and look at my
    record, which I certainly could do, Commissioner, as to
 7
    when that - if there was any email records about that. Um,
 8
    but, ah - but in terms of the actual production of a
    procurement memo, that was certainly delegated to Ms Poole
 9
10
    and she certainly did work on it. There's no question
    about that. Um, ah, as to the first time I discussed it
11
    with her, it certainly wouldn't have been as early as 2008.
12
    Um, it could have been as — as early as when we — when we
13
    were meeting with Mathias Cormann. But can I say that
14
15
    would have been in passing conversation. At some
16
    point - - -
17
18
    Just wait - - -?--- - - we'll have to do that.
19
20
    - - - because I think you've strayed from the answer?---Oh,
21
    I'm sorry. The answer is I don't have a specific
22
    recollection of when but I - I certainly could check my
23
    records to see if I have any further contemporaneous
24
    records.
25
26
    Because you understand what counsel has put to you in
27
    relation to Ms Poole's testimony?---Yes, I do.
28
29
    And you disagree with her recollection?---It's not my, um -
30
    I - well, it's not my recollection of the conversations we
31
    had.
32
33
    NELSON, MS: So to be clear, Mr Field, I asked you
34
    specifically about the procurement memo and whether you had
35
    conversations with her prior to 12 September. She had said
36
    you did not, but I take it you disagree with that?---I - I
37
    have recollections of having it earlier than 12 September.
38
39
    And Ms Poole has also told the Commission that she didn't
40
    have any conversations about any type of procurement
    activity in relation to this project with you until the
41
42
    invoice was received on 12 September or within 24 hours or
43
    so after that. So it never occurred to her that there
    needed to be a procurement activity, and she never
44
45
    discussed it with you until September?---Well, it was
46
    always a procurement activity. I don't think that's
47
    possible. I mean, you cannot do it without it being a
48
    procurement activity.
49
50
    But that's not what I'm asking you, Mr Field?---Okay, yeah.
```

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I'm suggesting to you that you did not have a conversation with Ms Poole about her undertaking any type of procurement activity prior to 12 September 2023?---No, that's not my recollection.

And I'm also suggesting to you that the first occasion you had a conversation with her about drafting a procurement memo was after 12 September 2023 but before 14 September 2023?---No, I thought it was - I thought it was earlier in 2023 when we commenced that conversation. So not a conversation about, 'Is this a procurement?' but a conversation about, ah, putting the relevant documents, reducing it into writing. I thought that was earlier in 2023. That's to the best of my recollection. I thought it was earlier in 2023.

I'll show you a series of emails, and these are emails between yourself and Ms Poole on September 14 2023 in relation to the procurement memo and drafting it at that stage. I take it your evidence is still that the procurement memo drafting process commenced several months before September, is that what you're saying?---No, no, I'm not saying that at all. I'm saying I don't have a recollection of when I actually had those conversations with her. But I'd have to go back and check my records about that.

What records would they be?---Oh, emails, any other record I might have about that.

Was that not part of the production notice that the Commission asked you to produce records in relation to procurement?---And I - to the best of my ability, I would have provided those.

Could I have 0516^.

0516^

NELSON, MS: So Ms Poole says there was a telephone conversation between you and her prior to you sending this email, and the telephone conversation was that she needed to do a procurement memo, which you followed up with this email. Do you recall sending this email?---Ah, I would absolutely accept it's an email from me.

48 So you don't recall sending it?---Well, I'm reading it. 49 Sorry, counsel. Yes, correct.

You do recall sending it?---Yes.

So what was the catalyst for you to send this email to Ms Poole?---It would have been an aide-memoire for her in conversations about the preparation of the memo.

And having seen this email now, can you tell the Commission why the memo needed to be done by Ms Poole at this time?--Because a memo had to be done in relation - - -

Why did it have to be done, Mr Field?---Well, because all procurements need a procurement memo that's in compliance with the Procurement Act and Procurement Rules.

So why did it need to be done on 14 September 2023?---Well, ah, that would have been the time when she and I were having the conversation about it being done. As I say, were there any earlier discussions between us about, um, that procurement memo? I have a very - I don't have a clear recollection. I do have a belief that there were conflict - there were discussions about a procurement memo and the need for a procurement memo to be done some months to even several months earlier than that. Whether there's any email record - and hence the reason why it wouldn't necessarily be in the production documents. Um, but remember there was hundreds and hundreds and hundreds and hundreds of phone calls occurring over any given, um, ah, couple of weeks, um, about things to be done. And I must say, I thought - I really did - do think that the OECD project and procurement was part of that. But this was certainly saying, 'We're at the time it has to be done, and we need to put it together.'

So why did a memo have to be done? You'd already signed the contract. Why did you want Ms Poole to do it now?---Well, procurement processes are ultimately reduced into writing under the, um - - -

I understand that, Mr Field, but why now? Why September 14?---Well, as I say, I - my recollection was it was being discussed considerably earlier than that. Um, and, ah - and this would have been a point where I said, 'Look, it - we just - can't just leave this thing not to be done. It has to get done.'

THE COMMISSIONER: But you'd already signed the contract. It just seems to me cart and horse. You'd signed the contract in August?---Well, no, but all the procurement steps that had to be taken under the Act had been taken, um, and under the rules had been taken. Um, there was

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discussions all along the way about it being reduced into a Um, and ultimately the contract is one part of that procurement. It's not the only part; it's one part of that procurement. Um, and reducing it into writing was the lawful and appropriate thing to do. So I understand the 6 point, Commissioner, about cart and horse, but I don't 7 think it is.

8 9

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NELSON, MS: So I have heard your response, Mr Field, but I just want to be clear that there is no email evidence or record that the Commission has found of any draft procurement memo or any instruction to anyone to draft a procurement memo before 14 September, and Ms Poole has said that the first occasion on which you mentioned it to her was after she received the 12 September invoice and before she received this email that's on the screen?---And I don't think that's - I don't think that's correct. Um, but - - -

18 19

I see?---Yeah.

20 21

22 23 Was anyone else instructed by you to draft a procurement memo before 14 September?---No, it would have only been Ms Poole that I spoke to.

24 25

The first draft that the Commission has seen is dated 18 September?---Yes.

27 28

26

Do you have any recollection of receiving a draft earlier than 18 September?---No.

29 30 31

Do you recall receiving a draft on 18 September?---I don't have a recollection of the 18 September date, but I, um - I don't doubt that's the case.

33 34

32

On 18 September you and Ms Poole flew out to Italy?---Yes.

35 36 37

Do you recall receiving a draft prior to you leaving for Italy?---Ah, I don't have a recollection of that.

38 39 40

Why did you ask Ms Poole to draft the procurement memo?---Ah, because she was the staff member in the Office of the president and Ombudsman.

42 43 44

45

46 47

48

41

And because she'd had a significant involvement in the negotiations?---Oh, yes, she had - sorry, two reasons. (1) she - there was no staff member to delegate it to - to whom I could delegate, so that - Rebecca was one - the only one. And second of all, because she had an understanding of the contract.

At the first line it says: 1 2 3 Dear Becky, when you brief Morgan tomorrow re OECD -4 5 Is that Morgan Marsh?---Correct. 6 7 Do you recall telling Becky to brief Morgan Marsh re OECD?-8 -- They were having a meeting, as I recollected, about about it, and I was - these were the matters I was saying 9 10 would be germane to brief Morgan about. 11 What was the meeting to be in relation to?---Oh, that was a 12 13 meeting between Morgan and Bec, but I presume it was about 14 at least the OECD. It could have been about other things. 15 16 But what about the OECD? What particularly about it?---Oh, 17 well, I - I - I don't have a recollection of that. 18 19 Could it have been the fact that the invoice required 20 payment within 30 days?---It may have been - the invoice 21 may have been a catalyst for it. 22 23 Would Morgan be someone who would be able to cause that to 24 be done?---Yes. 25 26 And why is that? What was her role?---Ah, she was the 27 assistant ombudsman of operations, and within her role she 28 was managing all the finance area. 29 30 Including the CFO?---Correct. 31 32 So you've asked, ah, Ms Poole to tell Morgan specific 33 things that are labelled 1 to 9?---Correct. 34 35 And they're all matters related to a procurement process?--36 -Correct. 37 38 Had you consulted anything in particular when you drafted this email?---Don't have any recollection of consulting 39 40 anything in particular. Um, I do know that that were they were matters which Ms Poole and I have discussed 41 42 before and I have to say I think going back over some 43 considerable period of time well before the dates you're 44 talking about. 45 46 Could they have been matters that you just discussed on the telephone with her when you asked her to do the procurement 47 48 memo?---No. I mean well before that. Sorry. As my chief of staff, this is absolutely no criticism of Ms Poole at 49 50 all but, um, as my chief of staff, we would be speaking

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multiple times a week. Um, that would be on the phone.
 1
 2
    Um, I don't have an aide-memoire - sorry, I don't have a
    reduction of writing of the notes of those meetings and I
    don't think she would necessarily either. Um, and it's my
    recollection that in early-ish 2023 and onwards there was
 6
    discussion about the fact that this was a - was
 7
    self-evidently a procurement and it was a procurement that
 8
    would have to be reduced into writing in accordance with
 9
    the Procurement Act and the procurement rules. That's my -
10
    that's my recollection.
11
12
    But there's no record that reflects that, is there?---Look,
13
    then instead of sending 8,000 emails in 2023, I would have
14
    sent 800,000. I mean, that would be an email about every
    single thing I ever discussed with anyone.
15
16
17
    Point 2, it says that:
18
19
          Rather and very obviously that the OECD is a
20
          bona fide sole source supplier to undertake a project
21
          that expands to Asia.
22
23
    ?---Yes.
24
25
          A previous OECD project that was applicable to
26
          Europe.
27
28
    ?---Yes.
29
30
    Now, that's a misrepresentation of the project proposal
31
    that we were looking at in 0107, isn't it?---Sorry, what
32
    was that?
33
34
    It's a misrepresentation - - -?---No, no. Sorry. The
35
    point - which number, sorry, counsel?
36
37
    Number 2?---Oh, number 2. Yeah. No, that's not a - that's
38
    exactly what I thought the project was and what I thought
    it was when I first met Mathias Cormann.
39
40
41
    Well, the project proposal is a - a survey that the OECD
    will submit to the donor, who will in turn distribute it
42
43
    amongst members of the IOI, notably in Africa, Asia,
44
    Australasia and Pacific and North America.
45
    characterise it as expanding just to Asia is a
46
    misrepresentation, I'd suggest?---No, absolutely not it's
    not. Um, rather and very obviously that the OECD is a
47
48
    bona fide sole source supplier is something I thought when
49
    I first met Mathias Cormann in Paris.
                                            To undertake a
50
    project that expands to Asia and previous (indistinct) is
    18/03/24
                          FIELD, C J
                                                              66
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Epiq

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exactly what I thought the project was. It was a project
 1
 2
    for Western Australia but also a benefit to our near Asian
    trading partners - major trading partners in Asia.
 3
    applicability, um, to Europe, um, was of course vis-à-vis
    the expansion of the project, so it was originally
 6
    applicable to Europe funded by the European Ombudsman.
 7
    were going to - - -
 8
 9
    We've had evidence on that which I suggested to you was not
10
    actually what the - the 2018 project was about?---Well, I
    think you're wrong about what the 2018 - - -
11
12
13
    Okay.
14
15
    THE COMMISSIONER: Well, I will decide what it's about in
16
    due course.
17
    NELSON, MS:
18
                 Thank you.
19
20
    Now, you mentioned that the OECD was a bona fide sole
21
    source supplier and you considered that at the time that
22
    you met Mathias Cormann in June - - -?---Yes.
23
24
    -- - 2022. Did you reduce that to writing at that time?--
25
    -Ah, no, I have no recollection of reducing that to writing
26
    at the time.
27
28
    THE COMMISSIONER:
                        I thought you told me that - at an
29
    earlier stage that your meeting with Mathias Cormann was
30
    very high level?---Well, that's true.
31
32
    It didn't descend to particulars of projects or anything
    else. It's just high level?---It was a high level. We did
33
34
    discuss the idea of projects that could be done. That's
35
    certainly true. But not down to that granularity,
36
    Commissioner.
37
38
    And there were projects. There wasn't just this one?---
39
    Yeah, that's right. A potential range of - - -
40
41
    But very high level?---Correct. Projects that could be,
    um, ones that would be advancing for mutual interests of,
42
43
    um, the ombuds for Western Australia, also the IOI, other
44
    ombudsmen, and the OECD in terms of good governance, with
45
    my specific view being around the - - -
46
47
    Just one - - -?--- - - Asia-Pacific region.
48
49
    - - - further thing so I can - - -?---Yes.
50
```

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- - - completely understand. You would regard that
    conversation with Mathias Cormann as part of the
 2
    procurement process?---Yes, because I consider the
 3
    procurement process to start with the idea, and that was
 5
    the germination of the idea.
 6
 7
    So you would regard the procurement process starting with
 8
    an idea?---I think the answer is it has to be under the
 9
    Procurement Act and the rules.
10
    Thank you for - - -?---It's an idea to procure something.
11
12
13
    Thank you for clarifying your evidence? --- Thank you,
14
    Commissioner.
15
16
    NELSON, MS: At this stage, September the 14th, Ms Marsh
17
    didn't know about the OECD project grant agreement, did
18
    she?---I couldn't answer that specifically but I think the
19
    answer to that - I couldn't be absolutely certain but I
20
    think the answer to that might be yes.
21
22
    And that was one of the reasons that Ms Poole had to speak
23
    to her?---Well, that I don't know, but that could well have
24
    been a reason.
25
26
    Well, you're - you're the one asking Ms Poole to brief
27
    Morgan tomorrow?---Yes. I'm not sure what the brief - I'm
    not quite sure what the discussion was about.
28
                                                    She had
29
    asked me, um, about that as I - well, I don't have a
    recollection of that. I was giving her information to have
30
31
    a meeting with Morgan. I presume - with Ms Marsh.
    presume that meeting was in part at least about the OECD.
32
33
    Correct.
34
35
    And at 7 and 8 you're telling Ms Poole what you see to be
36
    the value for money proposition to the OWA from entering
37
    into this agreement?---Correct.
38
39
    And then at 9 you say:
40
          You and I will be project supervisors.
41
42
43
    ?---Correct.
44
45
    And then if we go on to 0515, bottom of page 1. Thank you.
46
47
    0515^
48
49
    So the previous email was sent at 7.06 pm. You sent
    another email the same evening at 7.32 giving more
50
```

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clarification as to what you want Ms Poole to explain to 2 Morgan Marsh?---Correct. 3 4 And that's to do with the exemption?---Correct. 5 6 With a sole source supplier?---Correct. 7 8 And then if scroll up, at five minutes later at 7.38 Ms Poole replies. And then if we scroll up, at 7.40 you 9 10 tell her that you've read the procurement rules back to front and front to back?---Yes. 11 12 13 Was that something you'd done recently or prior to sending 14 this email?---I was absolutely aware of the Procurement Act 15 and the procurement rules. In fact, very aware of them, 16 um, throughout my entire term as the Ombudsman. 17 certainly for any procurement where I was involved - and that included this one, but there's - for example, an 18 19 obvious example of a more recent one where I pull them all 20 - pull them all back out and go back over them again. 21 yeah, I can assure you I was absolutely aware of the Act 22 and the rules all through my term of 17 years. 23 24 And you can see at the top of the page at 8.24 Ms Poole 25 says that she will familiarise herself before briefing 26 Morgan? --- Correct. 27 28 And in fact she was having to familiarise herself with the 29 procurement rules because she wasn't otherwise familiar 30 with them at that time. Were you aware of that?---I don't 31 - well, sorry. I thought she was at a broad familiarity with the procurement rules myself, I must say. Um, but, 32 33 um, ah, it's possible she wasn't as familiar I was, for 34 example. 35 36 Could I have 0517<sup>^</sup>. 37 0517^ 38 39 40 NELSON, MS: So you shortly thereafter send her a hyperlink to the Procurement Rules?---Mm, to assist her 41 42 with the task I delegated to her, correct. 43 Ms Poole has told the Commissioner that she had the rules 44 45 beside her while she drafted the procurement memo based on the instructions you had given her and those points 1 to 9, 46 47 and she produced 0199°, thank you, if we could have that 48 up. 49 50 0199^

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```
1
 2
                 And, Madam Associate, I think there are hard
    NELSON, MS:
 3
    copies of this document for Mr Field and Mr Porter and the
 4
    Commissioner.
 5
 6
    THE COMMISSIONER: I have it.
 7
 8
    NELSON, MS:
                 Thank you?---Thank you.
 9
10
    THE COMMISSIONER:
                        I think Mr Porter probably has it as
    well, but no harm in having it twice.
11
12
13
    PORTER, MR:
                 No, Commissioner, thank you.
14
15
    NELSON, MS: Can you see on page 2 that there is a comment
16
    box from RP which is Rebecca Poole - - -?---Yes.
17
18
    - - - with some track changes which are her changes?---
19
    Mm'hmm.
20
21
    So this is a working document. Do you recall receiving
    this working document?---I'm sure I did, sorry, counsel.
22
23
24
    It looks familiar?---I'm sure I saw the working document.
25
    This particular version of track changes - but I'm sure I
26
    saw the working document.
27
28
    When do you think you saw it?---Oh, that I couldn't be
29
    precise about. I'm sure it was roughly contemporaneous to
30
    the timing.
31
32
    So between 18 and 25 September you were in Italy with
33
    Ms Poole?---Mm'hmm.
34
35
    Do you recall having a copy of this when you were in
36
    Italy?---Oh, well, I always work while I was overseas.
37
    There's no doubt that I - that I did see it overseas.
38
39
    Do you also recall that in Italy that the OECD gave a
40
    presentation to the IOI on the particular proposal?---I do.
41
    I do.
42
43
    And it was Emma Cantera?---I do.
44
45
    Did you attend that presentation?---Ah, I don't think I
46
    did. I think I was, ah, dealing with another matter at
47
    that time germane to the conference. I might have been, I
48
    don't have a photo recollection of that. There was a -
49
    there was a period of time I was called out of the
50
    conference to deal with another matter.
```

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1
 2
    Can you recall when it was that you first received a draft
 3
    of the 18 September document?---No, I don't.
 5
    Perhaps on 17 October there's an email in which you have
 6
    asked Ms Poole to send you the OECD memo?---Yes, correct.
 7
 8
    Would that have been the first occasion, do you think?---
 9
    Ah, it may well have been.
10
11
    Did you have any conversations between 18 September and
12
    17 October with Ms Poole about the drafting of the memo?---
13
    My very broad recollection of this process - and it is no
14
    way to criticise Ms Poole, in fact it should be a criticism
15
    of me because I was delegating a task to a person who had
16
    far too much work to do in the first place - is that, um,
17
    the original discussions about this date to around early
18
    2003, and there was a series of times that I asked Ms Poole
19
    to undertake this work. My fault, she had too much other
    work to do. Um, and this was also part of this process as
20
21
    well because I think you'll find there's a number of emails
22
    - there was also phone conversations - where I was chasing
23
    up the production of these materials, chasing up my
24
    delegation. And that is my recollection goes before
25
    September 2003 - '23.
26
27
    I do have a series of emails which I'll take you to now,
28
    and also records of conversations, but they are all
29
    post-date 18 September?---Oh, there was certainly those
30
    occurring post-18 September '23 as well, correct.
31
32
    Could I have 0485<sup>^</sup>.
33
34
    0485^
35
36
    NELSON, MS: So at 11.29 am you email Ms Poole:
37
38
          Could you please send me the OECD memo.
39
40
    ?---Yes.
41
42
          I want to talk to you, Morgan, and Simone.
43
44
    Is Simone in the finance team?---Correct.
45
46
          Those are the only three people who know about this
47
          matter, the password protected documents and folders
48
49
50
    ?---Correct.
                                                               71
    18/03/24
                          FIELD, C J
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(Public Examination)

Epiq

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1
 2
          - - - so this matter has much less likelihood of
          being on the front page.
 4
 5
    ?---Correct. That was after the - correct.
 6
 7
    After the media articles - - -?---Correct.
 8
 9
    - - - in early October?---Correct, correct.
10
11
    On October 17 you were actually in Bahrain. Do you recall
    that, Mr Field?---I don't recollect the dates I was in
12
13
    Bahrain, but I'll take it as - take it from you that that's
14
    the case.
15
16
    Why are you asking for the OECD memo at this stage?---
17
    Because I would have wanted it settled and finalised.
18
19
    And were you intending to settle it?---Well, um, no, I
20
    wouldn't have been intending on settling it, um, but that
21
    is one example. There are others, not just that one.
22
23
    THE COMMISSIONER:
                        Let's just stick to this one?---All
24
    right. Where I'm chasing up the memo so it can be settled
25
    and can be finalised.
26
27
    NELSON, MS: Was that for the purpose of getting the
    invoice paid?---No, it was for the purposes of getting the
28
29
    memo done.
30
31
    But was it necessary for the memo to be complete before the
32
    finance team would pay the invoice to the OECD?---No, not
33
    from my perspective. I would have expected the memo to be
34
    done earlier, that is absolutely correct. Was I giving
35
    instructions for it to be done earlier? I absolutely was.
36
    Was it getting done? It was not.
37
38
    THE COMMISSIONER:
                       Well, it was done on 18 September?---
    No, it absolutely was not, Commissioner. That is not a
39
40
    memo that's sufficient for a procurement in any shape or
           That - that is - that's no criticism of Ms Poole,
41
42
    but that's not something which you can use as a procurement
43
           That was - that was a memorandum that was given to
44
    me that was wholly inadequate as a procurement memo, no
45
    criticism intended.
46
47
    Just stop worrying about criticism because we have evidence
48
    from witnesses?---All right.
49
50
    But the document from 18 September says:
```

18/03/24 FIELD, C J (Public Examination)

1 2 The purpose of this memorandum is to document the decision to procure the organisation for goods and 3 services. 5 6 ?---Well, from my perspective as a CEO, I felt that 7 document was a wholly inadequate document to capture the 8 multi-year procurement process it had undertaken, and to 9 comply with the Procurement Act and the Procurement Rules 10 of this state. Hence - - -11 12 And did you voice that Ms Poole?---Absolutely. And then 13 what I did is, ah, delegated it back to be done, and when 14 it wasn't - when she didn't have time to do it, I did it 15 myself. And how many times I've done that in 17 years, 16 I've lost count. 17 18 We will come to what you did in due course, I am sure. 19 20 Perhaps if we go back to 0199^, which is the NELSON, MS: 21 18 September 2023 procurement memo drafted by Ms Poole. 22 23 0199^ 24 25 NELSON, MS: Can you recall now what parts of this 26 memorandum were wholly inadequate from your point of view?-27 --I'm sorry, it's just it's - it's not in my - it wasn't, 28 in my view, a - - -29 30 THE COMMISSIONER: The question is can you recall which parts were wholly inadequate? --- The entirety of it. 31 32 33 The entirety. So none of this would fall into any subsequent procurement notice?---Oh, no. When I - the 34 35 entirety of it as read as an entirety. There were a whole 36 raft of aspects of this that were excellent in my view and 37 could be then put into what would be a file memo. In fact, 38 I think I might have - - -39 40 THE COMMISSIONER: Sorry - - -?--- - - emailed Ms Poole 41 about that. 42 43 Why did you just say none of it?---Well, because you 44 couldn't use that as a memo for the procurement. 45 however, were there parts of this that you could then say, 46 "Yes, that could be put into what would be a memorandum 47 that read - sorry, Commissioner. I realise that sounded 48 completely contradictory. This - - -49

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Certainly did?---Yes. And I want to clarify that.
 1
    memorandum here in my view would not have been a memorandum
 2
    appropriate in and of itself standalone under the
 3
    Procurement Act and the procurement rules. Were there
    aspects of this memo that were utterly appropriate, very
 6
    helpful that you would put into such a standalone memo?
 7
    Yes, absolutely there was. And in fact I think I sent an
 8
    email along those lines.
 9
10
                  Well, perhaps looking at the first page which
    NELSON, MS:
    is on the screen, was there anything in the purpose
11
12
    statement that you thought was inadequate or wrong or
13
    otherwise should be removed?---No. I thought the purpose
14
    was - was - that - that's - I would have wanted to see a
15
    lot more in the purpose but I thought that was a - a - a -
16
    it was not enough, um, in terms of the purpose, but it was
17
    in and of itself not wrong.
18
19
    And then the background on page 1?---I'd have to read it
    all again, counsel, ah, to familiarise myself with it.
20
21
    Um - - -
22
23
    THE COMMISSIONER:
                        I'll tell you what. I was going to
    give a break, so why don't we have a 10-minute break during
24
25
    which time you can read it - - -?---Oh, thank you,
26
    Commissioner.
27
28
    - - - for the full - - -?--Thank you.
29
30
    That'll save us time.
31
32
                         (Short adjournment)
33
34
    THE COMMISSIONER: Please be seated.
35
36
    Mr Field, did you have an opportunity to read the
37
    amendment?---I - Commissioner, thank you so much for that
38
    indulgence.
39
40
    NELSON, MS:
                 Now, having read that document this
41
    afternoon, Mr Field, was there anything in there that you
42
    thought was incorrect?---No. And thank you again,
43
    Commissioner, for the time - a chance to refresh my memory.
        I do remember this document, um, and, ah, hopefully
44
45
    it's still strictly within your answer to say in fact I
46
    think I might have sent back an email something along the
    lines of "That was an excellent start". So I have gone
47
48
    back through, ah - and far from being incorrect, um, so
49
    many of the aspects of this actually captured very
50
    correctly, um, ah, that the projects - that the procurement
```

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itself started in June '22 when I met with Mathias Cormann,
 2
    um, ah - - -
 3
 4
    THE COMMISSIONER: Well, perhaps counsel if she wants will
    take you through it?---Oh, take me through the document
 6
    instead.
             Sorry.
 7
 8
    But the question was is there anything in the document
    which is incorrect?---No. Nothing that was incorrect. Was
 9
10
    it sufficient for a procurement memo? In my view, no. But
    was it incorrect? No.
11
12
13
    NELSON, MS: If we look at page 2?---Yes.
14
15
    Under "Project Scoping"?---Yes.
16
17
    So the first paragraph talks about you meeting with
18
    Mathias Cormann? --- Correct.
19
20
    And - well, that's the first sentence. And then the second
21
    sentence on 15 May:
22
23
          The Ombudsman and President had meetings with
          her Excellency Gillian Bird, Australia's Ambassador
24
25
          to France, and Mr Brendan Pearson, Australia's
26
          Ambassador and Permanent Representative to the OECD
27
          in Paris.
28
29
    ?---Yes, correct.
30
31
    There was no relevance to the OECD project from those
32
    meetings, was there? There was no discussion in those
    meetings about the OECD project?---There was discussions
33
34
    about doing work with the OECD, um, but not about this
35
    specific project, no.
36
37
    And then the last sentence of that paragraph:
38
39
          Prior to this on 11 June 2023 -
40
41
    Is that a typo? Should it be 2022?--- I suspect it should
    be 2022.
42
43
44
          - the Ombudsman met with Rebecca Brown, Director
45
          General of the Western Australian Department of Jobs,
46
          Tourism, Science and Innovation.
47
48
    ?---Correct.
49
```

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2 3 4

And that - was that phone call prior to you meeting with Mathias Cormann?---Correct.

And in that phone call you did not discuss with her any project between the OWA and the OECD, did you?---Oh, no, that's not correct. I called Rebecca to inform her that I was meeting with Mathias Cormann, um, and that it would be my hope and anticipation that project work would arise out of it from the OECD. And one of the reasons I was calling Rebecca was to gauge her comfort level as to whether she would have concerns about that.

THE COMMISSIONER: She was in an airport at the time, wasn't she? Vancouver or Seattle?---I have some recollection it might have been Houston, but whichever way you say, Commissioner, I think that might be right.

Ms Brown says that you told her that you were NELSON, MS: meeting with Mathias Cormann but you didn't provide any specific details about what the meeting was discussing. Would that be a correct characterisation of the conversation?---Ah, well, no. What I - what I discussed with Ms Brown was, um, a intention - well, I called Ms Brown specifically to say, "I have a meeting arranged with Mathias Cormann". Um, I said to her, "Is there anything that you don't want me to discuss, anything you do want me to discuss?" I said to her that the purposes of the meeting, ah, was to see if we could develop further relationships with the OECD and any projects that might arise out of it. That was what the discussion with Ms Brown - if Ms Brown says, "We didn't specifically discuss this specific project," that would be correct.

 So why is it in this memorandum about a particular OECD project? What is the relevance?---Well, ah, discussing with the director general, um, of a department that has, ah, that role of - in part, that role of dealing with international organisations, um, struck me as being hugely relevant that I would be both briefing her and debriefing her when I returned to the trip. Um, that I was meeting with the head of the OECD, ah, and also, ah, discussing the possibility that there might be some form of Western Australian project. Exactly the same reason I - -

Well - - -?--- - - briefed - I - - -

Ms Brown says that you didn't discuss any West Australian project with her during that call and in fact at no time since have you provided her with any information regarding a contract involving the OECD?---No. The vast majority of my conversations with Rebecca Brown - this conversation and the debriefing conversation when I returned to 7 Western Australia have been in relation to an 8 MOU (indistinct). So it's been - - -

9 10

6

So it has - - -?--- - - the vast bulk of the conversations.

11 12 13

14

15

16

- - - no relevance whatsoever with the OECD project then, does it? It had relevance to do with the MOU Wisteria?---No, I wasn't calling her to discuss the MOU Wisteria. was calling her to discuss the fact I was having a meeting with Mathias Cormann.

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And that's the end of it? "I'm having a meeting with Mathias Cormann, Rebecca". And she said, "Good," and that's the end of it. Is that, Mr Field, all it was?---If you want my photo recollection of what the conversation was, ah, I can remember what room I was in when I had the conversation. And I rang her up and I said, "I'm meeting with Mathias Cormann," and I think she said something like, "Oh, that's impressive". And then I said, um, ah, "Is there anything you want me to say or don't want me to say?" And she said, "I don't have view about anything you, um, should say or - or certainly shouldn't say". Um, and I said, "It's a broader concept I have of the OECD and Ombudsman institutions having an alignment around, um, the UN resolution on good governance, um, and that is a key mandate of the OECD and a key mandate of Ombudsman institutions, and it happens to be a benefit that Mathias Cormann is Western Australian". I think that was the conversation I had with her.

36 37 38

39 40

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THE COMMISSIONER: Well, if that's the conversation that you had, counsel's question that it's not relevant to this remains?---I just don't accept it's not relevant. But it's specifically - it's specifically the case that it didn't -I can absolutely be unambiguously clear. If Ms Brown's evidence is it didn't discuss this specific project, Ms Brown would be right.

44 45

46 NELSON, MS: And in fact, she has never discussed this specific project with you?---I don't recollect discussing 47 48 the OECD project with, um, Ms Brown. Or certainly put it this way, if I did, it was very much, um, not the project 49

that I was specific - that was the main attention of my discussions with her.

And since to date, you have never discussed with her the OECD project?---Well that's not true, I discussed it with her when I returned to - to Australia, as a debriefing, meaning only after this meeting. I debriefed her on this meeting.

10 Well, that would be contrary to what she's told the 11 Commission?---It's contrary to the fact that I actually met 12 with her at all and debriefed her?

About the OECD project, correct?---Well, she'd be incorrect about the fact that I debriefed her about the OECD.

I see?---And I have a calendar record of meeting with Ms - and what other reason would I have had to call her?

The next paragraph:

Arising out of this dialogue and the unique and finite opportunity presented by the IOI presidency being held by an Australian, the office identified an opportunity to recreate the European Ombudsman's project, but with a specific focus on Western Australia's closest neighbours in Asia. The geopolitical interests in the Australasian region, and Africa, home to some of the world's most vulnerable citizens.

Was there anything about that paragraph that caused you concern when you read this memo, or causes you concern this afternoon?---Sorry, just remind me, which a paragraph is that?

The paragraph starting, 'Arising out of this dialogue,' referring to those meetings that we've just been through.

**THE COMMISSIONER:** But you did ask a double-barrelled question, I think you should make it a single-barrelled question.

NELSON, MS: Arising — is there anything about that paragraph that causes you concern this afternoon, having reread it this afternoon?——Well, far from it, that's — it might be that fortifies everything I've said this afternoon. Profoundly fortifies it.

Well, the dialogue you're referring to are the meetings with Mr Cormann, with Ms Bird, and Mr Pearson and Ms Brown, correct, that's the dialogue that you're referring to?
---Mm-hm.

And we've heard evidence that you didn't discuss the specific project with Mr Cormann on 13 June 2022?---Oh, sorry, that's grammatically in my view not correct, it's arising out of this - sorry, that sounds pedantic and it's not meant to be - and I might not even be correct about the grammar. But the conjunctive there is important, arising out of this dialogue, and the unique and finite opportunity presented by the IOI presidency being held by an Australia, the office identified - that's post-factual to - there's dialogue, there's unique opportunity, and going forward, that there's - we identified opportunities to recreate the European Ombudsman project. And this is Rebecca Poole's memo, not mine, I didn't write those words.

No, I'm asking you whether today you see anything that causes you concern in that paragraph, and I gather the answer is no?---No. The answer is not just no, that profoundly reinforces everything I've been saying to you, in my opinion.

Then if we jump down two paragraphs to the paragraph that starts 'frist' - which should be:

First, the project would very importantly be commissioned by Western Australia to focus on the Asian and Australasian and Pacific and African regions.

?---Yes, profoundly consistent to what I've been saying.

North America is left out of that?---That was part of the expansion project to which the 50,000 from the IOI was providing, so for the purposes of Ombudsman Western Australia, that is profoundly correct.

Also it's left out that the IOI is part of the project? ---Well, for - for that sentence, that's correct, it was the Ombudsman Western Australia commissioning the OECD to do a project which would be focusing on the Asia and Australasia and Pacific regions.

 But as we saw this morning, that actually did not occur until 30 June, when the IOI were taken off the draft grant proposal and the OWA were substituted?---I think there's something being made of that, counsel, that perhaps is - I

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haven't been clear enough about in my evidence. Regardless 2 of whether the IOI was ultimately to or not a party to that contract, it didn't take away from the gravamen of the contract, which was ultimately that this was the Ombudsman Western Australia identifying a project, which was to be undertaken for the benefit of Western Australians, and to 6 7 be undertaken for the benefit of our near-Asian neighbours. 8 Yes, would there be benefit for other Ombudsmen? There was 9 no doubt there would be as well, they would be in part a 10 beneficiary to it. And insofar as they were, there was a specific contribution made - being made by the IOI. 11 12 was the only reason there was originally discussion about 13 the IOI even being a potential signatory. I think there's 14 been much made of that in a way that perhaps is about my 15 poor explanation.

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Well, I was just relying on your own emails, Mr Field, in which you told the IOI board that it was to be a project commissioned by them, between them and the OECD. And back in January 2023, you told the OECD that it was a project between them and the IOI?---No, the IOI were not told that it was a project between the IOI and the OECD, it was told that it was a project involving all three of us. And, um, the IOI was told it was a project that was involving the OECD because the IOI were going to make a contribution to that project.

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Looking at the last paragraph on the page:

2930

31

A project panel comprising the Ombudsman and the President and Rebecca Poole (principal assistant Ombudsman) was convened to assess the procurement.

32 33 34

?---Yes.

35 36

37

And the project panel considered and applied the Procurement Rules at each stage of the scoping and the negotiation of the project.

38 39 40

Is that a lie, Mr Field?---Where is that, sorry?

41 42

The bottom of the page:

43 44 45 A project panel comprising the Ombudsman and President and Rebecca Poole was convened to assess the procurement in accordance with the rules at each stage of the scoping and negotiation of the project.

46 47 48

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Is that incorrect?---A lie? Absolutely, profoundly not, that was the exact process that we were going through and putting together the procurement memo. You're - that could

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only be a lie on the basis that I was doing that, um, at each iterative stage of the conversation. But the procurement memo, where this is being reduced to writing and those matters were being considered, it's not a lie, 5 it's the truth. 6 7 THE COMMISSIONER: Was there a project panel convened? 8 ---Yes. 9 10 Where are the records of that? --- The project panel was 11 Rebecca and I. 12 13 Where are the records is the question? --- I don't know if 14 there's a separate record about that, and I don't know 15 anything under the Procurement Act or rules that says there 16 has to be. 17 18 Carry on. 19 20 What did that project panel do at the scoping NELSON, MS: 21 stage of the project? Looking at the top of page 3?---The 22 scoping stage of the project? 23 24 It says: 25 26 The project panel considered and applied the 27 Procurement Rules at each stage of the scoping and 28 negotiation of the project. 29 30 ?---I'm so - forgive me, exactly where is that again? 31 32 The top of page 3?---Oh, sorry, the top of page 3. 33 34 It's on the screen?---Oh, I'm sorry. 35 36 It's not in the document, Mr Field, I'm asking you what the 37 project panel did at the scoping stage of the project?---I would have to go back and review over the document that I 38 39 settled. 40 41 Okay, well we will get to that then? --- As to that answer -42 and also to the answer that I gave to the Commissioner 43 before, because the project panel was conversations between Rebecca and I. Project panel, as it's a proper noun, makes 44 45 it sound like some entity of some substance. Ultimately 46 what it was - and as I understand the Procurement Act and the Procurement Rules, it was the two staff members, 47 48 effectively myself and Rebecca, who were involved in these discussions. Now, that's my understanding of that. 49 50 involved in every aspect of that at every stage? Yes I

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was. I'd have to check what I actually ultimately settled
    in relation to - to the draft itself. But were those
    discussions occurring? Yes, of course they were.
    there a separate thing called the project panel decided?
    No, I don't necessarily think there were. They were
    reduced to writing in the memo.
 6
 7
 8
    Well, it does have some formality, because it says the
 9
    project panel was convened?---Well, sorry. This is the
10
    memo that was given to me - - -
11
12
    I see, okay?---We'd have to look at actually - what
13
    actually the procurement memo says, which is the thing that
14
    I signed.
15
16
    THE COMMISSIONER:
                        Sorry, I don't quite understand that.
17
    This memo, you've already agreed, was accurate but
18
    incomplete?---Correct. So, I don't - when I say accurate,
19
    there may have been aspects which when I looked at it - so
20
    there's - - -
21
22
    Well, I gave you the opportunity to read through it?---Yes.
23
24
```

Before you told that it was accurate but incomplete?---Mm.

Do you now wish to change some of that evidence? --- Well, no, Commissioner, I don't. What I want to say is that this memo, from my recollection of both when I first received it and having looked at it in that break is that it contained substantial - a significant amount of substantially correct information, ah, ah, including things that we haven't gone through, like government, social, economic and environmental priorities. Utterly consistent with the evidence that I've given. But can I also say that, ah, there may be some particular references in here, um, to project panel. I'm not quite sure what the ultimate referencing was in the final - in the final document. What I can say is throughout, whether it's given the nomenclature project panel or not, there were discussions at the relevant stages about, um, ah, about what was being done in relation to this procurement. Were they captured in writing at the time? Commissioner, I don't think they necessarily were. Were they reduced to writing, as they must be under the Procurement Act and the Procurement Rules? Yes, they were.

And where will we find those? --- The procurement memo.

49 There seems to be a degree of secularity, but carry on. 50

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NELSON, MS: Could we go to page 6, thank you. Looking at 1 2 the value for money assessment?---Yes. 3 4 So, it says: 5 6 The project panel has assessed that the contract 7 achieves value for money, having regard to the 8 government's social, economic and environmental 9 priorities. 10 11 ?---Yes. 12 13 Cost and other relevant non-cost factors. 14 15 Did you have a sense at the time as to what the government, 16 social, economic and environmental priorities were?---Yes, 17 I had a broad sense of those, particularly because they're 18 relevant to our financial reporting, ah, and including in Estimates hearings, as to the sorts of matters that were 19 20 germane to social, economic and environmental priorities. 21 What I do remember at the time - I've actually written it here, Commissioner, in the time that you gave me to look at 22 23 this, and that was - it didn't have enough detail or 24 explanation, so it was one of the things that was in the 25 contract which I - in this draft, which I thought was, 26 'Yes, that's good, but it needs a lot more detail, a lot 27 more explanation.' 28 29 While you're holding that hardcopy document, could we just 30 go back to 0516<sup>^</sup>, thank you, Madam Associate, on the 31 screen? 32 33 0516^ 34 35 NELSON, MS: And if we could just hone into seven and 36 So, this is the email that you sent to Ms Poole on 37 14 September?---Yes. 38 39 It appears to me that she has copied your drafted seven and eight there, and put that in this memo as one and two on 40 41 page 6 as the government, social, economic and environmental priorities, value for money assessment for 42 43 this project, would you agree with that?---Correct. 44 45 Almost word for word?---Mm-hm. 46 47 And then if we go to page 7 - sorry, page 7, back on 0199^. 48 49 0199^ 50

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**NELSON, MS:** So, Ms Poole has put in the words from the streamlined budget process submission from the OWA back in February of that year?---Correct.

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As the basis for the funding?---Not just the basis for the funding, the basis upon which this project could have ever occurred, because if that hadn't have been funded, the project never would have occurred.

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19 20 Prior to the lodging of that application, you had already indicated that the project was going to go ahead?---No, no, I can - you can be - well, I can say to you without ambiguity that if this project had not received, um, approval from the board of the IOI for 50,000 euro, and it had not approved the funding out of the SBP process, this project would not have gone ahead. So, it's not just the funding, it's the entire imprimatur for this project occurring. If that hadn't happened, the project wouldn't have happened, and that is my unambiguous, on oath evidence, and it's the truth, and it always has been the truth.

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All right, I'm not going to go back over the discussion we had last time, except just to make you aware that it is still my view that the streamlined budget process is an appropriation of funds, it's not an approval to procure a particular project for a particular purpose?---Well, so the Treasurer says, but I can say to you, counsel, that, ah, I find it beggars belief, having done SBPs for 17 years without any form of specificity like this, that if you specify to the subcommittee of Cabinet that you are seeking funding to do a project specifically with the OECD, for a project in the Asian region, and then they give you that money, then as I say, I've already made my point clear about telling Mr Pastorelli about that, and then lo and behold, two weeks later I get an email from the Treasurer, and Mr Pastorelli's relationship with the Treasurer is well known, saying, 'Oh, no, that couldn't be what any of that means.'

39 40 41

THE COMMISSIONER: Well, I don't know it. What do you mean by that?---Well, it's been well-documented.

42 43

44 Well, I have no idea what you're talking about?---Well, I'm 45 quite happy to say - - -

You've given testimony about it, so what you mean by the relationship is well-known?---Well, it's been suggested

47 relationship is well-known?---Well, it's been suggested
48 regularly in the newspaper, um, that is, he is her de fac

48 regularly in the newspaper, um, that is, he is her de facto

49 chief of staff, and that those two are together

50 exceptionally closely, and it seems to be passingly strange

that I sent an email to Daniel Pastorelli about these matters, and then magically I receive a letter from the Treasurer about all of these things telling me to stop doing it, that's what I mean. And when I say it's well-known, it's reported all of the time in the newspaper that that's the case.

I must be very ignorant?---Well, I'm happy to get all the clips and send them through to you.

The first I've heard of it. So, you believe that is behind anything that the Treasurer has written to you?---Well, it's not the first time the Treasurer has interacted with my office in a way that is untoward, and might not be the last. This was one of many times that that's happened.

Well, I can say, as I said before and as I said before, I am not beholden to the government, or anybody else?---I'm not suggesting you are, Commissioner, under any circumstances.

We are conducting a misconduct investigation in relation to your actions?---Well, in relation to that misconduct, I don't think that Treasurer's letter, um, should be given particular weight, but that's my - that's my view.

Well, I - - -?--That's not for me to say.

I have little doubt that counsel assisting will in due course reach it. But that's a matter for her because I tend to have a broad outline but listen to the evidence so I can assess it.

NELSON, MS: Mr Field, just as a matter of fairness, the Commission has heard from Mr Pastorelli, and he said the first time he ever heard about any OECD project was on 10 October 2023 during a phone call with yourself and a subsequent email that I think we looked at last time of 15 October and which you gave more detail?---Well - - -

 Do you accept that?---Not only do I not accept it - I'm sure he's got reasons to say that, um, but one might wonder what those reasons are, including further reporting on the weekend. But what I would simply say is that, um, that is absolutely profoundly incorrect and utterly inconsistent with the fact that I was briefing every single senior person in the state about the OECD. So apparently, Mr Pastorelli was magically the only one who didn't get that briefing.

Well, Ms Brown has also said that she didn't get that briefing?---No, I'm talking about the Public Sector Commissioner, the Director General of the Department of Premier and Cabinet. Ah, I'm talking about, um, all of those. Mr Pastorelli was certainly briefed. He absolutely was. And I have a recollection of the exact time in the conversation I had that briefing with him. Um, so perhaps the best could be said about Mr Pastorelli's evidence about that is he's forgotten.

He told the Commission the first time that you discussed the OECD project with him was during the phone call in October 2023?---And I'm not suggesting anything, ah, in terms of his misleading this Commission. It's entirely none of my business to make such a view. I would simply say this. He's wrong, and it's utterly inconsistent with the briefings I had with the three people that I would brief - the Director General of the Department of Premier and Cabinet, the Public Sector Commissioner and the premier's chief of staff. All three were briefed. All three were briefed extensively. He was. It's - I'm sure it's very convenient for him to deny it now but it's - it's not true.

He said he didn't become aware of the signed contract between OWA and the OECD until November 2023?---No. That could be correct. We might not have got down to the granularity of discussing of discussing the contract. That's correct.

He says it's possible you may have generally mentioned the fact that you met with the OECD in mid-2022 in passing, but other than that, he didn't know that there was a project?——That's not correct. It's not true. What is correct is he thought it was a political problem. That's why he wrote to the treasurer to get rid of it.

THE COMMISSIONER: Sorry, I didn't quite hear that?--Well, he thought it was a political problem and he wrote to
the treasurer to try and get rid of it, and that's why the
treasurer wrote to me is my view. But if he thought it was
a political problem only after it was on the front page of
the newspaper, not beforehand. So he had no reason to
worry about it until then. That's when he started worrying
about it. So that evidence doesn't surprise me.

 NELSON, MS: And the Public Sector Commissioner has told the Commission that on one occasion you mentioned that you had been appointed as the President of the International Ombudsman Institute and what an honour it was, and she congratulated you on this, and that in relation to overseas travel that you mentioned at some of your meetings with her that you had just been somewhere or you were about to go somewhere -

but we didn't have detailed discussions about this other than points of interest of Mr Field. It was clear to me Mr Field's travel related to his role as President of the IOI, and I recall him mentioning speeches. I recall him telling me he had met with Mathias Cormann, Secretary General of the OECD as part of his overseas trips. He said he was doing some work with OECD researchers. As it seemed to relate to IOI business and not relevant to my work, we didn't discuss it in any detail.

Would that be true?---Ah, well, we're talking about one of Australia's finest public servants. I can say that I think that is largely a very good summary. I do think, um, that we might have discussed the OECD in slightly greater detail than that and it was actually on numerous occasions we did discuss that, ah, remembering that the Public Sector Commissioner himself met with the OECD based on the contacts my office gave her arising out of those meetings. I - - -

So that was back in October 2023. She was planning to meet with OECD researchers and asked you who was the name of the person that you had had a contact with?---Correct. recollection of my conversations with the Public Sector Commissioner, um, as I say, um, ah, of whom I have the highest regard, is only that in relation to the OECD we did discuss at some slightly greater detail about that OECD project. And the detail we discussed was about the benefit that it would bring in our, ah, region to our major Asian trading partners, all but China because China wasn't involved in the project, but to our key trading partners, Japan, Taiwan, Korea, ah - not in that order. We would have, ah - this - this project would have some significant benefit and that would be leveraged back into Western Australian benefit. So we discussed - we did discuss that aspect of the OECD project.

Did you discuss with her that it was a project with two designated project partners, the OWA and the OECD?---I would have discussed it was a project that, um, I would have seen coming definitely out of me being - - -

49 No. Did you discuss with her that there were two designated project partners?---No. No, no, no.

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So it's possible she could have had the impression that it was an undertaking you were doing as the IOI president?---No, I don't think so because the discussion was about the fact that this was the Ombudsman of Western Australia delivering these issues but arising from the fact this was the sort of benefit we were getting out of me being the president of the IOI. That's the way it would have been framed. So there wouldn't have been any doubt about the fact it was the Western Australian Ombudsman doing it, but it also would have been that it wouldn't have happened if I hadn't been the president of the IOI.

And Ms Roper who is the Director General of the Department of Premier and - - -?---Yes.

- - Cabinet has told the Commission that she recalls that you discussed with her that you had met Mr Cormann. It was some time in June 2022 she recalls. And she recalls that you observed it was very generous of Mr Cormann to take the meeting and that Mr Cormann was supportive of the work of the IOI?---Well, I had another - once again, an outstanding, um, senior leader in this state - a female leader in this state. Can I say I, ah, had a few conversations with the Director General about the OECD is my recollection. Um, and again, that would have been at that level, very similar to what I discussed with the Public Sector Commissioner. Really, most of these meetings were effectively traversing the same ground but for a different audience.

Well, she recalls that you didn't detail any specific project or collaboration between the IOI and the OECD?--No, that wouldn't - that isn't - I mean, remember the these - these - the Director General might be having hundreds and hundreds and hundreds and hundreds and hundreds and hundreds of meetings a year with people. I'm having one about the things that I know about, so it might be that my recollection might be better than hers.

I see?---Um, and that's not my recollection.

43 That can be taken down, thank you. Could we have 0473?

45 0473^

So October the 19th. You're still in Bahrain at this point. You email Ms Poole. This is just the resolution of this matter for my purposes. Are you referring to the

1 conversation with Mr Pastorelli that is underneath this?---2 Yes.

And what do you mean resolution? What has been resolved from your point of view?---Oh, well, at that stage, um, there'd been no indication to me that that project, um, from a government's perspective needed to be ceased. And on that basis, um, it was indicating that, ah, it was, um, fine for us to move forward. In other words, the government hadn't indicated "Well, you'll have to give us that money back that we gave in the SBP," and on that basis, we're able to move forward with the project.

By which you mean pay the Paris as you say in the second line?---Yes. So I do recollect that and I recollect at the time that I after I emailed, ah, the premier's chief of staff, um, that, ah, if I'd - if I'd received an email back saying, ah, "Well, we intend to take that to ERC and indicate - and ERC will take that money back off you," then we would have not been able to pay that invoice and we would have had to cancel the project.

And you say:

If you have not already, please speak to Morgan for  $\operatorname{me}$ .

The top line?---Yes. Yes.

Did Ms Poole have a conversation with you about whether she would or would not speak to Morgan?---I just don't have a recollection about that.

At any stage did Ms Poole say to you, "I'm not going to ask Morgan to pay the invoice"?---I don't have a recollection about that conversation. I know - I know that this was post the, ah, ah - the time of the article in the newspaper, and I think at that stage she was, um, concerned about involvement in all IOI matters was my recollection.

She - - -?---So it's possible. It's possible.

THE COMMISSIONER: The article in the newspaper seemed to be largely drawn from your annual report that had been tabled in Parliament, was it not?---Ah, some of it was and some from, um, ah, information that had been given to journalists as well because those - those were - well, um, some - some was given to a - a journalist. That journalist's, ah, email was sent to - from the West editorial. Mr Pastorelli - he sent that to me. Another

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one was from another journalist, um, and that was - that
    directly sent to me. That contained a raft of information,
2
    um, including information that appeared to have been
3
    provided by a staff member in my office. Um, and then as
5
    you say, some of it, um, was from the annual report.
6
7
    NELSON, MS:
                 And you say at the end:
8
9
          I will send through the procurement document once
10
          completed.
11
    ?---Yes.
12
13
14
    Yes. So two days earlier - well, sorry. Three - no, two
15
    days earlier you had asked for the copy of the OECD memo?--
16
    -Correct.
17
18
    So then the following day you ask Ms Poole to send it to
19
    you again?---Yes.
20
21
    0530.
22
23
    0530^
24
    And if we can just scroll down and see Ms Poole sends you
25
26
    the 18 September - - -?---Correct.
27
28
    - - - 2023 version. And go to page 2, you'll be able to
29
    see her comment. And I can tell you that this version that
30
    she has sent to you on 20 October is the same as 0199?---I
31
    accept that.
32
33
    Do you then work on that memo and change it?---Well, settle
34
    it. Correct. So, um, as I said to you, um, I thought that
35
    there - - -
36
37
                        Well, the answer seems to be yes?---
    THE COMMISSIONER:
    Well, sorry, Commissioner.
38
39
40
    You settled it?---Yes. Yes.
41
42
    NELSON, MS: And could we have 0642?
43
44
    0642^
45
46
    So at 1.20 on that same day you send back:
47
48
          Dear Becky,
49
50
          The OECD doc was very good. I've made changes.
    18/03/24
                          FIELD, C J
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(Public Examination)

Epiq

1 went through it. Not tracked but no substantive 2 matters. There's no need for attachments, so I've 3 put all the relevant info in the memo. The doc is password protected which I'll SMS you. This needs to 5 be sent to Morgan now for payment next week. 6 7 So at this stage, Mr Field, were you quite anxious for 8 payment to be made to the OECD under the invoice? --- Well, would be invoice payment. I thought that the payment had 9 10 to be made against the invoice. Correct. I'd had no indication from government that they wished to withdraw 11 12 that money from us, and on - - -13 14 Okay?--- - - - that basis, it was appropriate to pay. 15 16 If we could scroll down to the next page, thank you, and 17 see the attachment. So this is a memo that is dated 20 October 2023 and it's still from Rebecca Poole to 18 19 yourself?---Mm hmm. 20 21 But in fact this is a document that has been settled by 22 you, correct?---That I can't recollect. 23 24 Well, it's attached to the memo - sorry, to the email we 25 just looked at?---Oh, I'm sure it - - -26 27 At - - -?—Yes, that would be the case then. 28 29 At 1.20?---Yep. No. Sure that would be the case. 30 31 And this version of the memo is then sent at 2.03 pm to 32 Morgan Marsh?---Correct. 33 34 And we can have it - 0643. 35 36 0543^ 37 38 Attached is the procurement memo for the OECD Essential it receives the required sign 39 project. 40 offs from certifying officers. 41 42 What do you mean by that? What's a certifying officer?---43 Oh, that wasn't - there's no technical term certifying officers in the Procurement Act or rules. It was just 44 45 intended to make sure that those in the organisation -46 particularly Morgan March as the assistant ombudsman - but anyone else whom she wished to share it with - a CFO - that 47 48 they had gone through and were satisfied about the - that 49 that document was, ah, as a standalone document sufficient 50 and appropriate under the Act and the rules.

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2 5 6

Well, a certifying officer if the officer who reviews reviews the procurement evidence prior to certifying the invoice for payment. Is that your understanding? --- Ah, well, that's - that's how I was using it. Yes, that's exactly right.

And who was going to be the certifying officer for this particular project?---Well, the improvements were all going to - the approvals were going to be me in relation to the document itself. Um, what I - it's not talking about the invoice payments. We're talking about the procurement memo and the memo - I wanted to make sure - - -

13 14 15

Well, we're not talking about the procurement memo. talking about the line in your email where you say:

16 17 18

It is of course essential that it receives all required sign offs from certifying officers.

19 20 21

22

23

24

25

26

27

28

29

30 31

?---But that's exactly what I've just said. Attached in the procurement memo for the OECD project. Procurement memo. It is of course essential that it receives all required sign offs from certifying officers exactly in accordance with procurement rules. So we're sitting through a document where I was if you like in that sense of the matter of good governance and good practice saying to, um, the assistant ombudsman, um, um, who had significant experience in these issues "Is there anything that I've missed that should be done better, um, ah, that can improved in relation to this procurement documentation?" A very typical thing for us to do.

32 33 34

35

36

37

38

39

Was the CFO going to be the certifying officer to sign off that the invoice was paid?---This was not talking about the invoices and I don't recollect about the actual signing of the invoices - whether that would have been done in the finance area by the CFO or indeed by the assistant ombudsman. So that wasn't a - that wasn't part of that conversation.

40 41 42

Well - - -?---It's just about the procurement memo.

43 44

45

46

47 48

49

As you would be aware as - good procurement practice is before a certifying officer says to the organisation, 'Pay an invoice,' they want to see the procurement evidence, do you disagree with that?---Well, we have a raft of rules for signing off on procurement. It would depend on whether it's a five-dollar procurement or a \$5m procurement what

those rules would be. Um, would I have expected the CFO to 1 2 ultimately see the invoice? 3 4 THE COMMISSIONER: I don't think you're answering the 5 question?---Oh, okay, so sorry. 6 7 Is the purpose of a certifying officer to NELSON, MS: 8 review the procurement evidence before approving the 9 invoice for payment?---Ah, yes. 10 Who was going to be the certifying officer in this 11 12 instance? --- I do not recollect at that stage having a 13 conversation about who the certifying officer was. 14 15 It would also be good procurement practice that the 16 certifying officer is not the person who actually procured 17 the goods or service, you'd want different people to order, 18 to settle the contract, and the person who signs off for 19 payment, would that be good practice? --- Well it would 20 depend on the circumstances, it would depend on the amount, 21 it would depend on a whole raft of things where that was 22 the case. 23 24 If we could just see the attachment, please? It's called, 25 'A memo Western Australia Ombudsman and OECD project.' 26 we can see that it is the memo that we just looked at, 27 20 October 2023. This is the same memo that I showed you on 28 the previous occasion, which was a standalone document, 29 0114<sup>^</sup>, so if the witness could be given copies of 0114<sup>^</sup>, 30 thank you. 31 32 0114^ 33 34 THE ASSOCIATE: Would you like it on the screen? 35 36 NELSON, MS: Yes, thank you. So, 0114 is the version of 37 the memorandum dated 20 October 2023 that you sent to 38 Morgan Marsh?---Yes. 39 40 At 2.03 pm on 20 October 2023?---Yes. 41 42 And this was the version that you had settled based on 43 Ms Poole's 18 September document?---Correct. 44 45 Now, you've got a hardcopy in front of you. I take it that 46 given that this was your settled document, that you're familiar with it?---Ah, I don't recollect the document, 47 48 but, um, yes, I've got it in front of me. 49

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```
Now, there were some changes you made to Ms Poole's version
    of this memo. If we could just have 0114', thank you, on
 2
    the screen. And 0199^.
 3
 4
 5
    THE ASSOCIATE:
                      Both on the screen?
 6
 7
    NELSON, MS: Yes, thank you.
 8
 9
    0199^
10
11
    PORTER, MR: Well, counsel, I'm lost.
12
13
    NELSON, MS: Right?---So, 0114^ - - -
14
15
    Is the version of the memorandum that Mr Field said that he
16
    settled, and then sent to Ms Morgan at 2.03 pm on
17
    20 October. And he settled this document changing 0199^,
18
    which is the version of the memorandum from Ms Poole.
19
20
    PORTER, MR:
                 Thank you.
21
22
    NELSON, MS:
                 So, just looking at the very first page of
23
    both documents, you can see that you've removed the logo
24
    that says, 'International Ombudsman Institute'?---Ah, yes.
25
26
    And obviously you've changed the date of the memo, so that
27
    now it says 20 October 2023?---Mm-hm.
28
29
    In 0199<sup>^</sup>, in the first line, you've removed the phrase 'to
30
    document the decision', and you've said it is to procure.
31
    So, Ms Poole says in the very first sentence:
32
33
          The purpose of this memorandum is to document the
34
          decision to procure the organisation for - - -
35
36
    And you've said the purpose of this memorandum is to
37
    procure the organisation for cooperation and development?
    ---Yes, yes.
38
39
40
    Then I suggest to you the rest of the page is the same,
    except you've put in an additional heading under
41
42
    background, you've added International Ombudsman
43
    Institute?---Yes.
44
45
    If we go over to page 2 - - -
46
                  Sorry counsel, there's also a change in the
47
    PORTER, MR:
48
    first paragraph, final line. The Western Australian
49
    Ombudsman and OECD project.
50
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18/03/24 FIELD, C J (Public Examination) Epiq

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NELSON, MS: Thank you, yes. So, you've added in -
 1
 2
    Ms Poole called it the Western Australia Ombudsman project,
 3
    and you've called it the Western Australia Ombudsman and
    OECD project under purpose? --- Correct.
 5
 6
    Yes, thank you. And if we go over to page 2 of 0114^, you
 7
    appear to have accepted Ms Poole's tracked changes, do you
 8
    agree with that?---It's slightly more than accepted the
 9
    changes, but it's very close.
10
11
    In fact - well, you've added in a heading at the top of
12
    page 2, OECD project with the European Ombudsman?---Yes.
13
14
    And you've added in previously - you've slightly changed
15
    the first paragraph under that heading?---On - yes, no,
16
    there's other changes, highlighted community trends and
17
    changes, as well as a multitude of innovative practices
18
    from all over the world, the European Ombudsman report -
    but it's the European Ombudsman OECD report, it's the first
19
    of its kind, so there are some other changes that aren't
20
21
    showing in this way in track that aren't just accepting
22
    track changes.
23
24
    And if we - down the bottom of page 2 of 0114<sup>^</sup>, embedded in
25
    the two paragraphs under the heading, project. And you've
    also taken a significant - well, about three and a half
26
27
    paragraphs out of the project scoping heading from
    Ms Poole's?---Mm-hm.
28
29
30
    Then page 3, at the top of page 3 of 0114<sup>^</sup>, you've still
31
    got reference to the project panel, comprising the
    Ombudsman, the President and Rebecca Poole, was convened
32
33
    that we were discussing before?---Yes.
34
35
    So that's identical. And then the procurement framework is
36
    identical in both? --- Yes.
37
38
    As is page 4 of both. Do you agree with that?---Mm hmm.
39
40
    Then page 5 you've put in a heading, "The Procurement
41
    Method" and also referred to the report as "The European
42
    Ombudsman and OECD report"?---Yes.
43
44
    On the first line. And "the Western Australian Ombudsman
```

45 46

and OECD project" on the second line?---Yes.

And again, you've referred to that same name under "Objectives" halfway down the page?---Mm hmm.

```
Then when we look at the outputs, you've removed the
    reference to the scan report being roughly 50 to 100 pages.
    You've just got "scan report in English will include"?---
    Mm hmm.
 5
 6
    The first dot point under scan report is the same, then in
 7
    the second dot point you have replaced OI's role with
 8
    Western Australian Ombudsman and other ombudsmen?---Mm hmm.
 9
10
    You agree with that?---Yes.
11
12
    Why did you do that? --- I'm sorry. This is that second
13
    bullet point, isn't it? Second sub bullet point?
14
15
          So Ms Poole had "Analysis of OI's role in the
16
    Protection of Civic Space and in Reinforcing Democracy in
17
    the" - and you've got "Analysis of the Role of the Western
18
    Australian Ombudsman and other ombudsman in"?---Oh, because
19
    I wanted to emphasise the fact that that was, um, a
    particular aspect of the, ah - not just the case study but
20
21
    what I would expect to see in the scan report, um - - -
22
23
    But that didn't reflect what the proposal said the scan
    report would include, did it?---But as I - as I say - - -
24
25
26
    Mr Field, did that reflect what the proposal said the scan
27
    report - - -?---No.
28
29
    - - - would do?---No, it did not.
30
31
    And then, ah, under the next dot point on 0199, Ms Poole
    has started that whole paragraph:
32
33
34
          The donor may provide other in-kind support -
35
36
    Et cetera. Have you removed that entire dot point?---Yes.
37
    I didn't think at that stage that it was necessary for us
38
    to provide that in-kind support.
39
40
    You didn't think it was necessary for the OWA to provide
41
    that kind of support?---No.
42
43
    But that was in the agreement that you had signed as one of
    the obligations on the donor, was it not?---Well, I mean,
44
45
    in so far as I didn't think it was going to involve any
46
    material level of cost whatsoever, I didn't think it was
47
    necessarily to involve.
48
49
    Did you discuss - - -
50
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THE COMMISSIONER: That might be your view, but answer
 2
    counsel's question?---The answer is no. I think the answer
 3
    is no.
 4
 5
    NELSON, MS:
                  Did you discuss - - -
 6
 7
    THE COMMISSIONER:
                        Let's not have any misunderstanding.
 8
    Can you ask that question again? --- I guess it was meant to
 9
    be yes or no.
10
11
    NELSON, MS: I've actually forgotten what the question
12
    was. I - - -
13
14
    THE COMMISSIONER: Well, we'll move on.
15
16
    NELSON, MS:
                 Why did you remove that particular dot point
17
    that was in Ms Poole's output?---And - and - and, counsel,
18
    you asked me whether it was in the agreement, and I should
19
    have just said no, it wasn't.
20
21
    It wasn't in the agreement?---No, no, no. It was in the
    agreement, and you asked me was it in the agreement, and I
22
23
    said no. So you're right. It was in the agreement and
24
    then I removed it.
25
26
    Right. And why did you remove it seeing that it was an
27
    obligation on the OWA in the agreement?---Oh, because I
28
    felt as I said all along except the nature of the
29
    contracting, um, that the iterative development of the
    project over its 12 or 18 months to two-year period would
30
31
    include levels of flexibility, particularly given we of
    course were the funder.
32
33
34
    So you thought the contract had some flexibility to remove
35
    some aspects?---I thought - thought the contract was a - a
36
    reasonably high level synopsis, ah, of the understanding
37
    between us and the, ah - the funder, um, had considerable,
38
    ah, power, um, to make adjustments to the contract if they
39
    were necessary to make.
40
41
    When did you come to the conclusion that that - that
42
    obligation should be removed? When you were drafting this
43
    memo or prior to that?---Oh, is this the translation issue
44
    in particular are you referring to?
45
46
    No. I'm - you have removed the entire dot point?---Oh.
47
    the other in-kind support, um, ah - - -
48
49
    Mr Field, I'm asking you when you came to the conclusion
```

18/03/24 FIELD, C J
Epiq (Public Examination)

that it should be removed?---Yeah, sorry. I was trying to

97

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answer cos it was in component parts. But the, ah - it would have been some time prior to settling this, um, but perhaps it might have been some time after signing, ah, the contract, as we refer to having discussions in the organisation about what was involved. And I would have said, "Well, we don't need to do the printing and translating. That's not going to be something we'll need to do".
```

9

1112

So who did you have those discussions with?---Oh, they would have been discussions with, um, ah - would have been discussions with my chief of staff, um, and possibly only my chief of staff. Possibly.

13 14

15 Well, presumably as at 18 September when she drafted this 16 she thought it was still a - a matter that needed to be put 17 in there because there was that obligation? --- Oh, no. 18 Absolutely. And keeping in mind that she was drafting this not just on my instructions but on a - a previous level of 19 20 knowledge about this OECD project. I mean, she had been 21 involved in the negotiations for the OECD project going 22 back well over 12 months. She was the one who'd been 23 meeting with the OECD and the OECD staff.

2425

26

Well, she has put that obligation into her version of the memo and you have removed it from yours - - -?---Yes.

2728

--- a month later?---That's - that's ---

2930

31

32 33 Did you have the discussions with her in that month since she drafted the memo?---We were having ongoing - I can't - I don't have a specific recollection of that. She had a raft of discussions obviously - that's just factual - um, with the OECD. They're all documented. Um - - -

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So if someone had told the OECD that this was not going to happen?---I don't know if that conversation had occurred with the OECD at that stage. Um, it would have been a conversation we would have definitely had, um, with them, um, at - at an appropriate time. But this was - - -

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So you might not have - - -?--- - - very - - -

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--- had a ---?---This was very much end of contract as well, of course, remember. Translation and those services, printing. These - these - these things might have - might not have crystallised for two years.

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The next dot point - Ms Poole's memo. "A Case Study on an Ombudsman Institution's Role in Protecting Your Rights in

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the Digital Age". You've changed that to add in "Western Australian Ombudsman's Role in Protecting Your Rights in the Digital Age"?---Yes. I didn't think there was a specific enough emphasis on, ah, the one or two particular things that I wanted to get, um, from the project into - it wasn't just Western Australia. It was also going to be other Australian Ombudsmen and, um - and our Asia-Pacific partners. I have Thailand in mind and one or two others. But I felt there needed to be something more specific about that in these actual details.

 Is that because you were trying to procure WA - you're trying to get a - WA funds to pay the invoice, so you wanted there to be a more - more of a nexus between WA and the OECD - - -?---No.

- - - project?---No, not at all. Um, ah, not in the slightest. I was, ah, from the very first day we started discussing this, um, ah, having it as emphasis on, ah, ah, ah, Ombudsman of Western Australia. All the conversations I had with everyone who I spoke to was about the fact that this was a project being done with a Western Australian in so far as Mathias Cormann was a Western Australian, um, that would be of benefit of Western Australia and its near trading partners. That was the - that was the narrative of the conversation I had with everyone.

But your - - -?---I just didn't think it was sufficiently captured in here.

Well, in that case, you didn't - wouldn't have thought it was sufficiently captured in the agreement that you - - - signed, Mr Field?---I was - I was - I completely understand why you're placing the emphasis you're placing on the contract. I was never as concerned about what those details were in that contract. I was always much more of the view that it would, ah, develop iteratively along a vision that we had, and if there were any problems at any stage, I'd intended to call Mathias Cormann and tell him what the problem was. I wasn't concerned about sort of officer level details in the contract that had been put together.

 Well, it wasn't officer level, you had signed the contract that said a certain thing that you're now trying to get the invoice paid, and you're asserting to your CFO and to Ms Marsh that the output is something different to what the contract said it was?---The contract, in my view, was absolutely sufficient to sign.

Well, why haven't you put what was in the contract into this memorandum, Mr Field?---Well, first of all, the idea that a contract would cover every single possible detail of what might develop over a two-year project I don't think is realistic. Second of all, I absolutely under no circumstances ever thought that contract was of disbenefit to this state in terms of not allowing us to do the things that we wanted to do. It was a contract, in my view, within its broad substance, absolutely achieved what it needed to achieve to move this project forward. I was not concerned in the way you - I can understand exactly the point you are making, it was just not a concern in my mind at the time.

THE COMMISSIONER: Mr Field, before you became an Ombudsman, I understand you were a commercial lawyer? ---You're being exceptionally kind, but I did work in a law firm for two years, correct.

With contracts?---Ah, correct.

So, a contract contains the four corners of the agreement, would you agree with that?---Ah, correct.

 So, where do all these other things come from?---Well, the other thing I was taught in a commercial law firm - and all my responsibility, Commissioner McKechnie, was to the extent to which I didn't learn from my mentors appropriately - was, ah, this is about relationships and commerciality, and I was utterly convinced - at not one moment was I not convinced that after being 17 years as Ombudsman, never having something go awry, that I couldn't call Mathias Cormann and fix anything that came up. I didn't think anything was going to need to be fixed, but certainly the thing I took out of being a commercial lawyer was that it was about commerciality and relationships, and that's what I was taking out of it.

Fair enough.

NELSON, MS: Well, to your own officers in the OWA, who are going to be responsible for organising the payment of the invoice, you were misrepresenting what the actual contract was about, Mr Field?---I just - I've never misrepresented something in my life, let alone contracts, let alone to my own employees.

Were you trying to convey to them that it had more of a Western Australian flavour than it actually did?
---Absolutely, profoundly not. And I - I intended it to have a Western Australian flavour - not just a Western

Australian flavour, but to be fundamental. The gravamen

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was that it was a Western Australian concept from day one, I just totally reject that concept, it just wasn't what I was trying to do.

Well, if we could perhaps finish this document. So the bottom of page 5 of 0199^ refers to a case study on an Ombudsman's Insituttions role in protecting new rights in the digital age. And you have added in the Western Australia Ombudsman's role which we were just discussing. Then over the page on page 6, but at the bottom of page 5 still of 0114 $^{\circ}$ , which is your document - so Ms Poole at the top of page 6 had put in the policy dialogue event to disseminate the results of the survey and the updated report, organised by the donor in the context of its annual meeting. And you have removed from your version, 'will be organised by the donor in the context of its annual meeting', haven't you? You've just said the policy dialogue event to disseminate the results of the survey and the updated report?---Yes, I will - now, I'm a little bit lost, sorry counsel.

So at the top of page 6 of Ms Poole's version?---Yes, ah, yes.

She has copied from the actual annexure to the agreement the output which states:

A policy dialogue event to disseminate the results of the survey and the updated report will be organised by the donor in the context of its annual meeting.

You have removed the phrase 'by the donor in the context of its annual meeting'. At the bottom of your page 5?---Yes, I actually have a specific recollection around discussing that with staff at the time and saying, 'I simply don't want to commit to the costings of, ah, doing that, because a raft of the stakeholders won't necessarily be there, and that may not be particularly with the capacity to launch something online, may not - and indeed, that's exactly why I put the - I haven't read that, I'm just remembering now, why I put that in there about organised online. That is a conversation that I had at the time.

 At the time of drafting this actual memorandum, 0114^? ---Yes, but - leaving - leaving aside I spent 17 years working myself into the grave to represent the Parliament and the citizens of this state, and have never done anything such as you're accusing me of doing, um, I can also say this. It is absolutely not the case that there were recent inventions in this. What there were is

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iterative development. I would see something and then I 2 would say, 'Well that's not the way we want to do it, that's not going to be cost effective, that's not going to 3 be the most appropriate, ' I'd have that conversation, and then I'd say, 'It'll be much more efficient and effective to do it online,' that's exactly what that says. And in 6 7 fact, that's the conversation I remember before I even 8 refreshed my memory by reading this.

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And then again, at the top of page 6 in your document, you've added in Western Australian Ombudsman?---Correct.

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13 Instead of what was in Ms Poole's, which was OI's - so 14 Ombudsman's Institution's role. Have you done that to 15 create more of a nexus to WA?---It's not to create more of 16 a nexus, it's the fact of, um, I was given a, ah, a 17 document from an officer level. Now, in this case, a senior officer level, officer, which I didn't think had 18 sufficiently captured the breadth and the depth of the 19 20 discussions that either she and I had had, or alternatively 21 had been had more generally about this project. And that's 22 the most untoward thing that happens in settling documents 23 all the time between the CEO and staff. They give you a 24 document, the Director-General, the CEO, and say that 25 you've missed this, this, this and this, that's not what we were talking about, that's not what we discussed, we need 26 27 to capture this, and that's what's in there. It's not to 28 create something in hindsight.

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Mr Field, Ms Poole had copied exactly what appeared in the proposal annexed to the agreement that you had signed? ---Yes.

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As the outputs from you both entering into the OECD project?---Yes.

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Meaning the OWA and the OECD? --- Yes.

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39 You have changed them when you have settled 0114 to put in 40 Western Australia Ombudsman in several place?---Yes, I settled the document and made it more accurate to what 41 42 actually the project was, that's just an untoward thing to I mean, I understand exactly why - what you're saying 43 44 I'm doing, but it absolutely, profoundly was never in my 45 mind to do that.

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And underneath the heading 'Government, social, economic and environmental priorities' on page 6 of both documents, 47 48 you have changed paragraphs 1 and 2?---Yes.

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50 And you have in particular added in that it - under one, a

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particular focus on engagement with Aboriginal Western 2 Australians, refugee communities and other diaspora communities?---Now, yes. And - and I can say that was a more recent invention, Commissioner, that was. one where as the project was developing, I was thinking 6 about the fact that this project needed more as part of its 7 case study, more focus on Aboriginal Western Australians, 8 diaspora communities, all part of the emerging digital 9 sphere, and refugee communities. And my particular 10 thinking was that we could actually have a particular focus on how vulnerable communities, as in Aboriginal Western 11 12 Australians, refugee communities and other diaspora 13 communities could use the digital sphere in terms of their 14 engagement with the Ombudsman's office. So, that was a 15 more recent - that was a more recent invention. It wasn't 16 to try to make this sound like it was for Western Australia, that was more specificity about what the case 17 18 study was going to be.

And had you communicated that to the OECD?---No, I don't think we had communicated that to the - I did - well, the reason I didn't communicate it as I didn't - - -

THE COMMISSIONER: Have you now?---No. No. Sorry, no.

Had you communicated that to Ms Poole as at NELSON, MS: 20 October?---Well, we would have had a conversation about that aspect of the project, that's completely correct.

When would you have had that conversation?---It would have been on or about the time that we were doing this piece of work, is my guess.

Well, you were in Bahrain at the time and Ms Poole was in Perth?---Well, I think you can be rest assured that I was working full-time when I was in Bahrain, both in Bahrain and for work as well, as evidence that all these pieces of paper show.

But she had sent you her version of the memo only about 40 minutes prior to you settling the version that we're looking at now, and her version of the memo did not include Aboriginal Western Australians, refugee communities and other diaspora communities, did it?---No.

So, I'd suggest to you that you had not had that conversation with Ms Poole as at 2.03 pm on 20 October?---I don't want to suggest that's - that's unrealistic. So, you're right, I'm in Bahrain, I am working for the Office 50 of the Ombudsman while I'm there on annual leave, and, um,

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I, ah, was looking at this and I would give, ah, Ms Poole and other staff in my organisation instructions. get a document back, and as I'm going through and working on it and settling it, I would have thought, 'Oh, that's something I want to add as well.' There's just nothing to 6 that.

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Right, it's something that you came up with while you were settling this document?---Well, I come up with all sorts of ideas while I'm settling documents, some good, some bad.

10 11

12 Do you agree with that?---I don't recollect whether it was 13 specifically when I was settling this, whether I left it 14 out of the first particular piece of instruction. What I 15 can say is this, it wasn't in my mind some weeks before 16 that. It was definitely a developing idea that I had about how this was going to be of greater benefit to vulnerable 17 18 communities, I absolutely agree with that.

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20 In response to the Commissioner's question a few questions ago, you said as the project was developing. What had happened in terms of the project commencing at this stage, as at 20 October?---Well, we were still going through this 24 process.

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So, to your knowledge the OECD hadn't started work on anything to do with the project as at 20 October?---No, though it came to my attention at a later stage they had in fact started work on it, but that was not my understanding of what they were doing at the time.

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And I note the time, Commissioner, I'll just quickly finish this document if I may.

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THE COMMISSIONER: I was thinking if we could finish this document that would be good.

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Now, under the heading 'cost', you had added NELSON, MS: in 'And OECD' to the Western Australian Ombudsman and OECD project, in the first paragraph on two occasions?---Yes.

40 41

42 And Ms Poole referenced an attachment, attachment 2, which 43 you have removed?---Yes.

44

45 And then on page 7, you've added in a timeframe?---Yes. 46 Where did you get that from?---I think that was from the OECD contract. 47

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49 And then over the last page, page 8, under the heading 'project governance', and you've added into the statement 50

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1 2	that the project panel will supervise the Western Australian Ombudsman project?Correct.
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4 5	You've added in:
6 7	With a third member, the CFO, to join the panel.
8	?Mm-hm.
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10	And was that just to be for the purpose of managing the contract?Exactly, so that was the project panel that
12 13	would supervise the contract upon commencement to delivery, and at that stage, um, I'd met the CFO, outstanding
14 15	individual, and I thought it was very, very sensible to bring her onboard, both as a learning opportunity, but also
16 17	as a third party not involved in the initial negotiations to be part of that project panel.
18	
19	And you've added in in the second line 'and OECD' to the
20 21	name of the project?Yes.
22	And you've just changed slightly the two dot points about
23	the project being delivered on time and on budget?
24 25	Correct.
26 27	That completes the documents, thank you Commissioner.
28 29	THE COMMISSIONER: Very well. Mr Porter, is there one day where you cannot make it before 11, or am I dreaming?
30	DODIED NO. No Commissioner Co. I/d noted that shows
31 32	<b>PORTER, MR:</b> No Commissioner. So, I'd noted that change in time which was set down for Wednesday, but I'm not - I
33	had nothing to do with how
34	nad nooning to do with now
35	THE COMMISSIONER: Right, I'm not quite sure why it was
36	now. We'll tell you tomorrow. And we'll adjourn until
37	tomorrow morning, 9.45.
38	(MILE MITEMEROS MITEMEDEN)
39 40	(THE WITNESS WITHDREW)
41	AT 4.18 PM THE MATTER WAS ADJOURNED UNTIL
42	TUESDAY, 19 MARCH 2024
43	,

## Certificate Made Under Section 50A of the Evidence Act 1906

The transcript of CHRISTOPHER FIELD heard on MONDAY, 18 MARCH 2024:

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Certified on this 18th day of March 2024 by: Chris Millward, Joelle Burgess & Hannah Wood

Full Name: CHRISTOPHER JOHN MILLWARD JOELLE BURGESS HANNAH WOOD

Occupation: Transcribers and officers of the Commission under the Corruption, Crime and Misconduct Act 2003 ss 182, 3 who has taken an oath before the Commissioner.

Signature: CHRISTOPHER JOHN MILLWARD JOELLE BURGESS HANNAH WOOD

Epiq Australia Level 1, Kings New Office Tower 533 Hay Street Perth WA 6000